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(54) Title: RECEPTOR-MEDIATED UPTAKE OF AN EXTRACELLULAR BCL-x<sub>L</sub> FUSION PROTEIN INHIBITS APOPTOSIS

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# RECEPTOR-MEDIATED UPTAKE OF AN EXTRACELLULAR BCL- $\mathbf{x}_L$ FUSION PROTEIN INHIBITS APOPTOSIS

### **RELATED APPLICATIONS**

This application claims priority based on U.S. provisional application number 60/149,220, filed August 16, 1999.

### **FIELD**

This invention relates to modification of the apoptotic response of target cells, for instance target cells in a subject. More specifically, it relates to apoptosis-modifying fusion proteins with at least two domains, one of which targets the fusion protein to a target cell, and another of which modifies an apoptotic response of the target cell.

### BACKGROUND

Tissue and cell homeostasis in multicellular organisms is largely influenced by apoptosis, the phenomenon of programmed cell death by which an intra- or extra-cellular trigger causes a cell to activate a biochemical "suicide" pathway. Morphological indicia of apoptosis include membrane blebbing, chromatin condensation and fragmentation, and formation of apoptotic bodies, all of which take place relatively early in the process of programmed cell death. Degradation of genomic DNA during apoptosis results in formation of characteristic, nucleosome sized DNA fragments; this degradation produces a diagnostic ~180bp laddering pattern when analyzed by gel electrophoresis. A later step in the apoptotic process is degradation of the plasma membrane, rendering apoptotic cells leaky to various dyes (e.g., trypan blue and propidium iodide). Apoptotic cells are usually engulfed and destroyed early in the death process; thus, apoptosis tends not to be associated with inflammation caused by cytoplasm leakage, as is found in necrosis.

Various *in vivo* triggers can induce apoptosis; the paradigmatic trigger is a shortage of one or more necessary growth factors. Apoptosis plays a significant role in development of the neural system (reviewed in Cowan *et al.*, *Science* 225:1258-1265, 1984; Davies, *Development* 101:185-208, 1987; Oppenheim, *Annu. Rev. Neurosci.* 14:453-501, 1991) and lymphoid system (reviewed in Blackman *et al.*, *Science* 248:1335-1341, 1990; Rothenberg, *Adv. Immunol.* 51:85-214, 1992) of vertebrates. System development occurs through selective apoptotic extinction of certain cell populations.

In spite of much study, the molecular mechanisms of apoptosis are not fully elucidated. It does appear, however, that different apoptosis inducers may trigger different apoptotic pathways. For instance, certain pathways are transcription-dependent, in that apoptosis requires the synthesis of new proteins after stimulation by, for instance, withdrawal of growth factors. Staurosporine, a non-specific kinase inhibiter, in contrast, stimulates a transcription-independent pathway. Transcription dependent and independent pathways appear to share downstream components, including the ICE family of proteases (caspases). See Rubin, *British Med. Bulle.*, 53:617-631, 1997, for a review of apoptosis in

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neurons; More general reviews include Ashkenazi and Dixit, *Science* 281:1305-1308; Thornberry and Lazebnik, *Science* 281:1312-1316; and Adams and Cory, *Science* 281:1322-1326.

Apoptosis is recognized as a gene-directed event, controlled by a complex set of interacting gene products that inhibit or enhance apoptosis (Williams and Smith, *Cell* 74:777-779, 1993; reviewed in White, *Genes Dev.* 10:1-15, 1996). Extensive effort is currently underway to identify and characterize the genes involved in this process. The first protein characterized as influencing apoptosis was Bcl-2 (Cleary *et al.*, *Cell* 47:19-28, 1986; Tsujimoto and Croce, *Proc. Natl. Acad. Sci. USA* 83:5214-5218, 1986). Since its discovery, several Bcl-2-related proteins (the Bcl-2 family of proteins) have been identified as being involved in regulation of apoptosis (White, *Genes Dev.* 10:1-15, 1996; Yang *et al.*, *Cell* 80:285-291, 1995). One such is Bcl-x, which is expressed in two different forms, long (Bcl-x<sub>L</sub>) and short (Bcl-x<sub>S</sub>) (Boise *et al.*, *Cell* 74:597-608, 1993).

Bcl-x<sub>L</sub> and certain other members of the Bcl-2 family are, like Bcl-2 itself, powerful inhibitors of cell death (the "anti-death" Bcl-2 family members). Genetic overexpression of Bcl-2 has been shown to block apoptosis in the nervous system of transgenic mice (Chen et al., Nature 385:434-439, 1997; Henkart, Immunity 4:195-201, 1996; Lippincott-Schwartz et al., Cell 67:601-616, 1991; Hunziker et al., Cell 67:617-627, 1991; Krajewski et al., Cancer Research 53:4701-4714, 1993; Martinou et al., Neuron 13:1017-1030, 1994).

Other members of the Bcl-2 protein family, including Bcl-x<sub>S</sub>, Bad and Bax, are potent enhancers of apoptosis and therefore toxic to cells ("pro-death" Bcl-2 family members). Though the mechanism of apoptosis induction by these proteins remains unknown, it has been suggested that Bad binding to Bcl-x<sub>L</sub> may promote cell death (Yang et al., Cell 80:285-291, 1995; Zha et al., J Biol. Chem 272:24101-24104, 1997) and that phosphorylation of Bad may prevent its binding to Bcl-x<sub>L</sub>, thereby blocking cell death (Zha et al., J Biol. Chem. 272:24101-24104, 1997; Zha et al., Cell 87:619-628, 1996).

In addition to its involvement in neuronal and lymphoid system development and overall cell population homeostasis, apoptosis also plays a substantial role in cell death that occurs in conjunction with various disease and injury conditions. For instance, apoptosis is involved in the damage caused by neurodegenerative disorders, including Alzheimer's disease (Barinaga, *Science* 281:1303-1304), Huntington's disease, and spinal-muscular atrophy. There is also a substantial apoptotic component to the neuronal damage caused during stroke episodes (reviewed in Rubin, *British Med. Bulle.*, 53(3):617-631, 1997; and Barinaga, *Science* 281:1302-1303), and transient ischemic neuronal injury, as in spinal cord injury. It would be of great benefit to prevent undesired apoptosis in various disease and injury situations.

Treatment with standard apoptosis inhibitory molecules, for instance peptide-type caspase inhibitors (e.g., DEVD-type), though useful for laboratory experiments where microinjection can be employed, has proven unsatisfactory for clinical work due to low membrane permeability of these inhibitors. Transfection of cells with various native proteins, including members of the Bcl-2 family of

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regulatory proteins, has dual disadvantages. First, transfection is usually not cell-specific, and thus may disrupt apoptotic processes non-specifically in all cells. Second, transfection tends to provide long term alterations in the apoptotic process, in that once a transgene is integrated and functional in the genome of target cells, it may be difficult to turn off. Especially in instances of stroke episodes or transient ischemic neuronal injury, it would be more advantageous to be able to apply apoptosis regulation for short periods of time. Therefore, there is still a strong need to develop pharmaceutical agents that overcome these disadvantages.

Cancer and other hyper-proliferative cell conditions can be viewed as inappropriate escape from appropriate cell death. As such, it would be advantageous to be able to enhance apoptosis in certain of these cells to stop unregulated or undesired growth. Various attempts have been made to selectively eliminate cancerous cells through the use of targeted immunotoxins (genetic or biochemical fusions between a toxic molecule, for instance a bacterial toxin, and a targeting domain derived, typically from an antibody molecule).

One bacterial toxin that has been employed in attempts to kill cancerous cells is diphtheria toxin (DT). Diphtheria toxin has three structurally and functionally distinct domains: (1) a cell surface receptor binding domain (DTR), (2) a translocation domain (DTT) that allows passage of the active domain across the cell membrane, and (3) the A (enzymatically active) chain that, upon delivery to a cell, ADP-ribosylates elongation factor 2 and thereby inactivates translation. Altering the receptor specificity of the diphtheria toxin has been used to generate toxins that may selectively kill cancer cells in vitro (Thorpe et al., Nature 271:752-755, 1978) and in man (Laske et al., Nature Medicine 3:1362-1368, 1997). Promising though they might have seemed, these and similar hybrid immunotoxins have proven to be substantially less effective at targeted cell death than the toxins from which they were generated. This is perhaps due to difficulties in translocation of the fusion protein into the target cell (Columbatti et al., J. Biol. Chem. 261:3030-3035, 1986). In addition, in vivo results have been particularly poor using such hybrid constructs (Fulton et al., Fed. Proc. 461:1507, 1987).

It is to biological molecules that overcome deficiencies in the prior art that the present invention is directed.

### SUMMARY OF THE DISCLOSURE

Disclosed herein are apoptosis-modifying fusion proteins constructed by fusing a protein, or an apoptosis-modifying fragment or variant thereof, from the Bcl-2 protein family with a cell-binding, targeting domain such as one derived from a bacterial toxin. Using this approach, apoptosis-modifying fusion proteins can be delivered effectively throughout the body and targeted to select tissues and cells. In certain embodiments, fusing various cell-binding domains to Bcl-2 family proteins (such as Bcl-x<sub>L</sub> or Bad) allows targeting to specific subsets of cells *in vivo*, permitting treatment and/or prevention of the cell-death related consequences of various diseases and injuries. The delivery of other Bcl-2 homologues to the cell permits regulation of cell viability either positively (using anti-death Bcl-2 family).

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The apoptosis-modifying fusion proteins disclosed herein have specifiable cell-targeting and apoptosis-modifying activities. Thus, they may be used clinically to treat various disease and injury conditions, through inhibition or enhancement of an apoptotic cellular response. For instance, apoptosis-inhibiting fusion proteins are beneficial to minimize or prevent apoptotic damage that can be caused by neurodegenerative disorders (e.g., Alzheimer's disease, Huntington's disease, spinalmuscular atrophy), stroke episodes, and transient ischemic neuronal injury (e.g., spinal cord injury). The apoptosis-enhancing fusion proteins n can be used to inhibit cell growth, for instance uncontrolled cellular proliferation.

Accordingly, a first embodiment is a functional apoptosis-modifying fusion protein capable of binding a target cell, having a first domain capable of modifying apoptosis in the target cell, and a second domain capable of specifically targeting the fusion protein to the target cell. This fusion protein further integrates into or otherwise crosses a cellular membrane of the target cell upon binding to that cell.

Certain embodiments will also include a linker between these two domains. This linker will usually be at least 5 amino acids long, for example between 5 and 100 amino acids in length, and may for instance include the amino acid sequence shown in SEQ ID NO: 6. Appropriate linkers may be 6, 7, or 8 amino acids in length, and so forth, including linkers of about 10, 20, 30, 40 or 50 amino acids long.

The apoptosis modifying fusion proteins may also include a third domain from one of the two original proteins, or from a third protein. This third domain may improve the fusion protein's ability to be integrated into or otherwise cross a cellular membrane of the target cell. An example of such a third domain is the translocation region (domain or sub-domain) of diphtheria toxin.

Target cells for the fusion proteins disclosed herein include, but are not limited to, neurons, lymphocytes, stem cells, epithelial cells, cancer cells, neoplasm cells, and others, including other hyper-proliferative cells. The target cell chosen will depend on what disease or injury condition the fusion protein is intended to treat.

Receptor-binding domains may be derived from various cell-type specific binding proteins, including for instance bacterial toxins (e.g., diphtheria toxin or anthrax toxin), growth factors (e.g., epidermal growth factor), monoclonal antibodies, or single-chain antibodies derived from antibody genes. Further, variants or fragments of such proteins may also be used, where these fragments or variants maintain the ability to target the fusion protein to the appropriate target cell.

Further specific embodiments employ essentially the entire Bcl-x<sub>L</sub> protein as the apoptosis-modifying domain of the fusion protein, or variants or fragments thereof that maintain the ability to inhibit apoptosis in a target cell to which the protein is exposed. Examples of such proteins are fusion proteins made of the Bcl-x<sub>L</sub> protein, functionally linked to the diphtheria toxin receptor binding domain through a peptide linker of about six amino acids. One such protein is Bcl-x<sub>L</sub>-DTR, which consists of Bcl-x<sub>L</sub> and DTR, without the translocation domain of diphtheria toxin. The nucleotide sequence of this

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fusion protein is shown in SEQ ID NO: 1, and the corresponding amino acid sequence in SEQ ID NOs: 1 and 2.

Another such example is  $LF_n$ -Bcl- $x_L$ , which includes the amino terminal portion (residues 1-255) of mature anthrax lethal factor (LF), coupled to residues 1-209 of Bcl- $x_L$ . The nucleotide sequence of this fusion protein is shown in SEQ ID NO: 7, and the corresponding amino acid sequence in SEQ ID NOs: 7 and 8.

Also encompassed are fusion proteins wherein the apoptosis-modifying domain is an apoptosis-enhancing domain. Such domains include the various pro-death members of the Bcl-2 family of proteins, for instance Bad, and variants or fragments thereof that enhance apoptosis in a target cell. A specific appropriate variant of the Bad protein has an amino acid other than serine at amino acid position 112 and/or position 136, to provide constitutively reduced phosphorylation.

Thus, one specific embodiment is a functional apoptosis-enhancing fusion protein capable of binding a target cell, comprising the Bad protein and the diphtheria toxin translocation and receptor binding domains, functionally linked to each other. The Bad protein of this embodiment can also contain a mutation(s) at position 112 and/or 136 to change the serine residue to some other amino acid, to reduce phosphorylation of the protein. One such protein is Bad-DTTR; the nucleotide sequence of this protein is shown in SEQ ID NO: 3, and the corresponding amino acid sequence in SEQ ID NOs: 3 and 4.

Also disclosed herein are nucleic acid molecules encoding apoptosis-modifying fusion proteins, for instance the nucleic acid sequences in SEQ ID NOs: 1, 3, and 7, and nucleic acid sequences having at least 90% sequence identity to these sequences, for instance those encoding for proteins containing one or more conservative amino acid substitutions. Other nucleic acid sequences may have 95% or 98% sequence identity with SEQ ID NO: 1, 3, or 7. Also encompassed are recombinant nucleic acid molecules in which such a nucleic acid sequence is operably linked to a promoter, vectors containing such a molecule, and transgenic cells comprising such a molecule.

Methods also are provided for producing functional recombinant apoptosis-modifying fusion proteins capable of binding to a target cell, integrating into or otherwise translocating across the cell membrane, and modifying an apoptotic response of the target cell. Such a protein can be produced in a prokaryotic or eukaryotic cell, for instance by transforming or transfecting such a cell with a recombinant nucleic acid molecule comprising a sequence which encodes a disclosed bispecific fusion protein. Appropriate eukaryotic cells include yeast, algae, plant or animal cells. Such transformed cells can then be cultured under conditions that cause production of the fusion protein, which is then recovered through protein purification means. The protein can include a molecular tag, such as a six histidine (hexa-his) tag, to facilitate its recovery.

Protein analogs, derivatives, or mimetics of the disclosed proteins, which retain the ability to target to appropriate target cells and modify apoptosis in those cells, are also encompassed in embodiments.

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Compositions containing these apoptosis modifying fusion proteins, and analogs, derivatives, or mimetics of these proteins, are further aspects of this disclosure. Such compositions may further contain a pharmaceutically acceptable carrier, various other medical or therapeutic agents, and/or additional apoptosis modifying substances.

Methods for modifying apoptosis in a target cell are also encompassed, wherein a sufficient amount of a fusion protein of the current disclosure to modify apoptosis in the target cell is contacted with a target cell. Modification of apoptosis can be by either inhibition or enhancement of an apoptotic response of the target cell. The fusion protein can be administered to the target cell in the form of a pharmaceutical composition, and can further be administered with various medical or therapeutic agents, and/or additional apoptosis modifying substances. Such agents may include, for instance, chemotherapeutic, anti-inflammatory, anti-viral, and antibiotic agents.

Bcl-x<sub>L</sub>-DTR, LF<sub>n</sub>-Bcl-x<sub>L</sub>, or related fusion proteins can be used to inhibit apoptosis in a target cell by contacting the target cell with an amount of this protein sufficient to inhibit apoptosis.

Alternatively, Bad-DTTR or related fusion proteins can be used to enhance apoptosis in a target cell by contacting the target cell with an amount of this protein sufficient to enhance apoptosis.

A specific aspect disclosed herein is the method of reducing apoptosis in a subject after transient ischemic neuronal injury, for instance a spinal cord injury, comprising administering to the subject a therapeutically effective amount of an apoptosis-inhibiting protein according to this disclosure. Examples of such fusion proteins include Bcl-x<sub>L</sub>-DTR and LF<sub>n</sub>-Bcl-x<sub>L</sub>. These proteins can be administered in the form of a pharmaceutical composition, and can be co-administered with various medical or therapeutic agents, and/or additional apoptosis modifying substances.

The foregoing and other features and advantages of the invention will become more apparent from the following detailed description of several embodiments, which proceeds with reference to the accompanying figures and tables.

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### **BRIEF DESCRIPTION OF THE FIGURES**

FIG 1 shows the construction, production and bioactivity of Bcl- $x_L$ -DTR and Bcl- $x_L$  transfected into HeLa cells. FIG 1A is a schematic representation of construction of Bcl- $x_L$ -DTR. FIG 1B is a Western blot of the lysates of HeLa cells transiently transfected with Bcl- $x_L$  (lane b) and Bcl- $x_L$ -DTR (lane c). Lane a contains untransfected cells as a control. A small amount of endogeneous Bcl- $x_L$  is present in lanes a and c. FIG 1C is a graph that shows transient transfection of Bcl- $x_L$  (O) and Bcl- $x_L$ -DTR ( $\diamondsuit$ ) genes into HeLa cells inhibits apoptotic cell death induced by the addition of STS. Apoptosis in control cells transfected with the vector (pcDNA3) vector is shown for comparison ( $\square$ ).

FIG 2 is a graph that shows the results of a diphtheria toxin receptor competitive binding assay. Cold competitor proteins [native DT ( $\Delta$ ), Bcl-x<sub>L</sub>-DTR ( $\triangle$ ), Bcl-x<sub>L</sub>(O), and DTR ( $\bigcirc$ )] were used to displace I<sup>125</sup> labeled diphtheria toxin (DT) tracer, and the amount of bound, labeled tracer was measured. Native DT and the fusion protein Bcl-x<sub>L</sub>-DTR compete for DT receptor binding in the nanomolar concentration range.

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FIG 3 depicts the results of several experiments that demonstrate the apoptosis-inhibiting character of the fusion construct Bcl- $x_L$ -DTR. Panel **A** is a graph of a time course of apoptosis induced by staurosporine (STS). Cells were treated with 0.1  $\mu$ M STS (O), 0.1  $\mu$ M STS-plus 4.8  $\mu$ M Bcl- $x_L$ -DTR protein medium ( $\Delta$ ), or 20  $\mu$ l of PBS ( $\Box$ ). Results are presented as the average number of apoptotic cells per field (magnification 160 x). For each point, at least 5 fields were counted in each of at least 3 wells. FIG 3B is a SDS-PAGE gel that shows that Bcl- $x_L$ -DTR prevents PARP cleavage. Lane a contains control HeLa cells not incubated with STS (uninduced cells); Lane b, HeLa cells treated with STS plus 1  $\mu$ M Bcl- $x_L$ -DTR protein; Lane c, HeLa cells treated with STS plus 1.48  $\mu$ M Bcl- $x_L$ -DTR protein; and Lane d, HeLa cells treated with STS and no fusion protein.

FIG 4 shows that Bcl- $x_L$ -DTR inhibits of apoptosis induced by  $\gamma$ -radiation, but not that induced by  $\alpha$ -Fas antibody. FIG 4A is a graph showing that the addition of Bcl- $x_L$ -DTR prior to irradiation of Jurkat cells reduces apoptotic death in response to  $\gamma$ -radiation. Control cells were not irradiated and not treated with Bcl- $x_L$ -DTR. FIG 4B is a graph that shows that, in Jurkat cells, Bcl- $x_L$ -DTR had little inhibitory effect on apoptosis induced by anti-Fas antibody. Control cells were treated with PBS and no anti-Fas antibody.

FIG 5 shows that Bcl-x<sub>L</sub>-DTR inhibits apoptosis induced by poliovirus.

FIG 6 is a graph showing the time course of viability of cells treated with Bad-DTTR.

FIG 7 shows the results of experiments that demonstrate that Bad-DTTR combined with STS triggers massive cell death. FIG 7A is a graph quantifying cell death after treatment of U251 MG cells with various combinations of STS and Bad-DTTR. Apoptosis is most enhanced when cells are treated with 0.1  $\mu$ M STS plus 0.65  $\mu$ M Bad-DTTR, and cells begin to die about 12 hours after treatment. In the experiment depicted in FIG 7B, the use of 1  $\mu$ M STS in combination with various concentrations of Bad-DTTR cause an earlier onset of apoptosis in U251 MG cells. Key:  $\Box$  = PBS;  $\diamond$  = 0.1  $\mu$ M STS; O = 0.65  $\mu$ M Bad-DTTR;  $\Delta$  = 0.065  $\mu$ M Bad-DTTR;  $\Box$  = 0.1  $\mu$ M STS + 0.65  $\mu$ M Bad-DTTR;  $\Box$  = 0.1  $\mu$ M STS + 0.65  $\mu$ M Bad-DTTR.

FIG 8 is a schematic diagram of the chimera  $LF_n$ -Bcl- $x_L$ . The fusion gene,  $LF_n$ -Bcl- $x_L$ , was inserted into the vector, pET15b, yielding a histidine tag sequence at the N terminus of the  $LF_n$ -Bcl- $x_L$  gene.

FIG 9 is a graph showing the time course of apoptosis induced by STS in J774 cells, with or without LF<sub>n</sub>-Bcl- $x_L$  protein. J774 cells at 3 x  $10^4$  / cm<sup>2</sup> were treated with 0.1  $\mu$ M staurosporine alone, 0.1  $\mu$ M staurosporine along with LF<sub>n</sub>-Bcl- $x_L$  (28  $\mu$ g / ml) plus PA (33  $\mu$ g / ml), or with PBS alone. The apoptotic and living cells were stained with Hoechst 33342 and counted at the indicated times, and the data were calculated as reported (Liu *et al.*, *Proc. Natl. Acad. Sci. USA* 96: 9563-9567, 1999).

FIG 10 is a bar graph showing the effect of  $LF_n$ -Bcl- $x_L$  against J774 treated with STS. J774 cells at  $10^4$  / cm² were treated with PBS, 0.1  $\mu$ M staurosporine alone, 0.1  $\mu$ M staurosporine along with  $LF_n$  (28  $\mu$ g/ml), 0.1  $\mu$ M staurosporine along with  $LF_n$ -Bcl- $x_L$  (28  $\mu$ g/ml), 0.1  $\mu$ M staurosporine along with  $LF_n$ -Bcl- $x_L$  (28  $\mu$ g/ml) plus PA (33  $\mu$ g/ml),

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0.1  $\mu$ M staurosporine along with PA (33  $\mu$ g/ml) and 0.1  $\mu$ M staurosporine along with LF<sub>n</sub> (28  $\mu$ g/ml) plus PA (33  $\mu$ g/ml). The apoptotic and living cells were stained with Hoechst 33342 48 hours later and counted, and the data were calculated as for **FIG** 9.

FIG 11 is a bar graph showing the effect of LF<sub>n</sub>-Bcl- $x_L$  against Jurkat cells treated with STS. Jurkat cells at  $10^5$  / ml were treated with 0.1  $\mu$ M staurosporine alone, 0.1  $\mu$ M staurosporine along with LF<sub>n</sub>-Bcl- $x_L$  (28  $\mu$ g / ml) plus PA (33  $\mu$ g / ml) or with PBS. The apoptotic and living cells were stained with Hoechest 33342 21 hours later and counted, and the data were calculated as for FIG 9.

FIG 12 is a bar graph showing that the fusion protein LF<sub>n</sub>-Bcl-x<sub>L</sub> prevents apoptosis by in neonatal rat retinal ganglion cells 24 hours after optic nerve section. The apoptotic and living cells in retinal ganglion layers were counted 24 hours after optic nerve section immediately followed by the injection of PBS or the indicated protein(s). The percentage of apoptotic cells versus total retinal ganglion cells per retina is represented.

### **SEQUENCE LISTING**

The nucleic and amino acid sequences listed in the accompanying sequence listing are shown using standard letter abbreviations for nucleotide bases, and three letter code for amino acids. Only one strand of each nucleic acid sequence is shown, but the complementary strand is understood as included by any reference to the displayed strand.

SEQ ID NO: 1 shows the DNA coding sequence and corresponding amino acid sequence of  $Bcl-x_L-DTR$ .

SEQ ID NO: 2 shows the amino acid sequence of Bcl-x<sub>L</sub>-DTR.

SEQ ID NO: 3 shows the DNA coding sequence and corresponding amino acid sequence of Bad-DTTR.

SEQ ID NO: 4 shows the amino acid sequence of Bad-DTTR.

SEQ ID NO: 5 shows the nucleotide sequence of the linker used to link Bcl- $x_L$  to DTR in the fusion construct Bcl- $x_L$ -DTR.

SEQ ID NO: 6 shows the amino acid sequence of the linker used to link Bcl- $x_L$  to DTR to form Bcl- $x_L$ -DTR.

SEQ ID NO: 7 shows the DNA coding sequence and corresponding amino acid sequence of  $LF_n$ -Bcl- $N_L$ .

SEQ ID NO: 8 shows the amino acid sequence of LF<sub>n</sub>-Bcl-x<sub>L</sub>.

### **DETAILED DESCRIPTION OF THE INVENTION**

### 35 I. Abbreviations and Definitions

### A. Abbreviations

DT: diphtheria toxin

DTR: diphtheria toxin receptor binding domain

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DTT: diphtheria toxin translocation domain

DTTR: diphtheria toxin translocation and receptor binding domains

E. coli: Escherichia coli

EF: anthrax edema factor

5 LF: anthrax lethal factor

LF<sub>n</sub>: first 255 residues of anthrax lethal factor

moi: multiplicity of infection

PA: anthrax protective antigen

PCR: polymerase chain reaction

10 RE: restriction endonuclease

SDS-PAGE: sodium dodecyl sulfate-polyacrylamide gel electrophoresis

STS: staurosporine

TdT: terminal deoxyribonucleotidyl transferase

TUNEL: TdT-dependent dUTP-biotin nick end labeling

### B. Definitions

Unless otherwise noted, technical terms are used according to conventional usage.

Definitions of common terms in molecular biology may be found in Lewin, Genes V published by Oxford University Press, 1994 (ISBN 0-19-854287-9); Kendrew et al., (eds.), The Encyclopedia of

Molecular Biology, published by Blackwell Science Ltd., 1994 (ISBN 0-632-02182-9); and Robert A. Meyers (ed.), Molecular Biology and Biotechnology: a Comprehensive Desk Reference, published by VCH Publishers, Inc., 1995 (ISBN 1-56081-569-8). The nomenclature for DNA bases and the three-letter code for amino acid residues, as set forth at 37 CFR § 1.822, are used herein.

In order to facilitate review of the various embodiments of the invention, the following definitions of terms are provided. These definitions are not intended to limit such terms to a scope narrower than would be known to a person of ordinary skill in the field.

**Animal:** Living multi-cellular vertebrate organisms, a category that includes, for example, mammals and birds. The term mammal includes both human and non-human mammals. Similarly, the term "subject" includes both human and veterinary subjects.

Apoptosis-modifying ability: A protein has apoptosis-modifying ability if it is capable of modifying apoptosis in a cell. This ability is usually measurable, either in vivo or in vitro, using any one of myriad apoptosis assays. The art is replete with methods for measuring apoptosis. Appropriate techniques include due exclusion (e.g. Hoechst due No. 33342), assaying for caspase activity, and TUNEL-staining. The specific ability of a fusion protein to modify the apoptotic response of a cell to various apoptosis-inducing stimuli can be determined by running standard apoptosis assays in the absence of or presence of various concentrations of the fusion proteins. The results of the assay are

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then compared, and can be reported for instance by presenting the percentage of apoptosis that occurs in the presence of the fusion protein.

The invention also includes analogs, derivatives or mimetics of the disclosed fusion proteins, and which have apoptosis-modifying ability. Such molecules can be screened for apoptosis-modifying ability by assaying a protein similar to the disclosed fusion protein, in that it has one or more conservative amino acid substitutions or short in-frame deletions or insertions, or analogs, derivatives or mimetics thereof, and determining whether the similar protein, analog, derivative or mimetic provides modification of apoptosis in a desired target cell. The apoptosis-modifying ability and target cell binding affinity of these derivative compounds can be measured by any known means, including those discussed in this application.

Apoptosis-modifying fusion protein: Proteins that have at least two domains fused together, at least one domain comprising a cell binding region capable of targeting the fusion protein to a target cell (the targeting or cell-binding domain), and at least one domain capable of modifying apoptosis in the target cell (the apoptosis-modifying domain). The apoptosis-modifying fusion proteins of the current invention are further characterized by their ability to integrate into or otherwise cross a cellular membrane of the target cell when delivered extracellularly. An apoptosis-modifying fusion protein is considered functional if it targets to the correct target cell, and modifies an apoptotic response of that cell.

In general, the two domains of the disclosed fusions are genetically fused together, in that nucleic acid molecules that encode each protein domain are functionally linked together, for instance directly or through the use of a linker oligonucleotide, thereby producing a single fusion-encoding nucleic acid molecule. The translated product of such a fusion-encoding nucleic acid molecule is the apoptosis-modifying fusion protein.

Apoptosis-modifying fusion proteins can be labeled according to how they influence apoptosis in the target cell. For instance, an apoptosis-modifying fusion protein according to the current invention that inhibits apoptosis in the target cell can be referred to as an apoptosis-inhibiting fusion protein (e.g., Bcl-x<sub>L</sub>-DTR and LF<sub>n</sub>-Bcl-x<sub>L</sub>). Likewise, if the fusion protein enhances apoptosis in the target cell, it can be referred to as an apoptosis-enhancing fusion protein (e.g., Bad-DTTR). Specific apoptosis-modifying fusion proteins are usually named for the proteins from which domains are taken to form the fusion, or from the domains actually used. For instance, "Bcl-x<sub>L</sub>-DTR" (SEQ ID NOs: 1 and 2) consists of the entire Bcl-x<sub>L</sub> protein fused in frame to the receptor-binding domain of diphtheria toxin (DTR) via a short linker.

**A Bcl-2 protein:** A Bcl-2 protein is a protein from the Bcl-2 family of proteins and includes those proteins related to Bcl-2 by sequence homology, which affect apoptosis. By way of example, the family includes Bcl-2, Bcl-x (both the long and short forms), Bax, and Bad. Additional members of the Bcl-2 family of proteins are known (Adams and Cory, *Science* 281:1322-1326, 1998).

Molecules that are derived from proteins of the Bcl-2 family include fragments of such proteins (e.g., fragments of Bcl-x<sub>L</sub> or Bad), generated either by chemical (e.g., enzymatic) digestion or

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genetic engineering means. Such fragments may comprise nearly all of the native protein, with one or a few amino acids being genetically or chemically removed from the amino or carboxy terminal end of the protein, or genetically removed from an internal region of the sequence.

Derived molecules, or derived from: The term "X-derived molecules" or "derived from X," where X is a protein also encompasses analogs (non-protein organic molecules), derivatives (chemically functionalized protein molecules obtained starting with the disclosed protein sequences) or mimetics (three-dimensionally similar chemicals) of the native protein structure, as well as proteins sequence variants or genetic alleles, that maintain biological functionality. Where the derived molecule is used as the targeting domain of an apoptosis-modifying fusion protein, the biological functionality maintained is the ability to target to fusion protein to the desired target cell. Likewise, where the derived molecule is used as the apoptosis-modifying domain of the fusion, the functionality maintained is the ability to affect apoptosis in the target cell. Each of these functionalities can be measured in various ways, including specific protein binding and apoptosis assays, respectively.

Injectable composition: A pharmaceutically acceptable fluid composition comprising at least one active ingredient, e.g., an apoptosis-modifying fusion protein. The active ingredient is usually dissolved or suspended in a physiologically acceptable carrier, and the composition can additionally comprise minor amounts of one or more non-toxic auxiliary substances, such as emulsifying agents, preservatives, and pH buffering agents and the like. Such injectable compositions that are useful for use with the fusion proteins of this invention are conventional; appropriate formulations are well known in the art.

**Isolated:** An "isolated" biological component (such as a nucleic acid molecule, protein or organelle) has been substantially separated or purified away from other biological components in the cell of the organism in which the component naturally occurs, *i.e.*, other chromosomal and extrachromosomal DNA and RNA, proteins and organelles. Nucleic acids and proteins that have been "isolated" include nucleic acids and proteins purified by standard purification methods. The term also embraces nucleic acids and proteins prepared by recombinant expression in a host cell as well as chemically synthesized nucleic acids.

**Linker:** A peptide, usually between two and 150 amino acid residues in length, which serves to join two protein domains in a multi-domain fusion protein. Peptide linkers are generally encoded for by a corresponding oligonucleotide linker. This can be genetically fused, in frame, between the nucleotides that encode the domains of a fusion protein.

**Oligonucleotide:** A linear polynucleotide sequence of between six and 300 nucleotide bases in length.

**Operably linked:** A first nucleic acid sequence is operably linked with a second nucleic acid sequence when the first nucleic acid sequence is placed in a functional relationship with the second nucleic acid sequence. For instance, a promoter is operably linked to a coding sequence if the promoter affects the transcription or expression of the coding sequence. Generally, operably linked

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DNA sequences are contiguous and, where necessary to join two protein-coding regions, in the same reading frame.

**Parenteral:** Administered outside of the intestine, e.g., not via the alimentary tract. Generally, parenteral formulations are those that will be administered through any possible mode except ingestion. This term especially refers to injections, whether administered intravenously, intrathecally, intramuscularly, intraperitoneally, or subcutaneously, and various surface applications including intranasal, intradermal, and topical application, for instance.

**Pharmaceutically acceptable carriers:** The pharmaceutically acceptable carriers useful in this invention are conventional. Martin, *Remington's Pharmaceutical Sciences*, published by Mack Publishing Co., Easton, PA, 15th Edition, 1975, describes compositions and formulations suitable for pharmaceutical delivery of the fusion proteins herein disclosed.

In general, the nature of the carrier will depend on the particular mode of administration being employed. For instance, parenteral formulations usually comprise injectable fluids that include pharmaceutically and physiologically acceptable fluids such as water, physiological saline, balanced salt solutions, aqueous dextrose, glycerol or the like as a vehicle. For solid compositions (e.g., powder, pill, tablet, or capsule forms), conventional non-toxic solid carriers can include, for example, pharmaceutical grades of mannitol, lactose, starch, or magnesium stearate. In addition to biologically-neutral carriers, pharmaceutical compositions to be administered can contain minor amounts of non-toxic auxiliary substances, such as wetting or emulsifying agents, preservatives, and pH buffering agents and the like, for example sodium acetate or sorbitan monolaurate.

**Purified:** The term purified does not require absolute purity; rather, it is intended as a relative term. Thus, for example, a purified fusion protein preparation is one in which the fusion protein is more enriched than the protein is in its generative environment, for instance within a cell or in a biochemical reaction chamber. Preferably, a preparation of fusion protein is purified such that the fusion protein represents at least 50% of the total protein content of the preparation. More purified preparations will have fusion protein that represents at least 60%, 70%, 80% or 90% of the total protein content.

**Recombinant:** A recombinant nucleic acid molecule is one that has a sequence that is not naturally occurring or has a sequence that is made by an artificial combination of two otherwise separated segments of sequence. This artificial combination can be accomplished by chemical synthesis or, more commonly, by the artificial manipulation of isolated segments of nucleic acids, *e.g.*, by genetic engineering techniques.

Similarly, a recombinant protein is one encoded for by a recombinant nucleic acid molecule.

Sequence identity: The similarity between two nucleic acid sequences, or two amino acid sequences is expressed in terms of the similarity between the sequences, otherwise referred to as sequence identity. Sequence identity is frequently measured in terms of percentage identity (or similarity or homology); the higher the percentage, the more similar the two sequences are. Homologs of the apoptosis-modifying fusion protein will possess a relatively high degree of sequence identity when

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aligned using standard methods. For instance, encoding sequences encompassed in the current invention include those that share about 90% sequence identity with SEQ ID NO: 1 and NO: 3.

Methods of alignment of sequences for comparison are well known in the art. Various programs and alignment algorithms are described in: Smith and Waterman, Adv. Appl. Math. 2:482, 1981; Needleman and Wunsch, J. Mol. Biol. 48:443, 1970; Pearson and Lipman, PNAS. USA 85:2444, 1988; Higgins and Sharp, Gene, 73:237-244, 1988; Higgins and Sharp, CABIOS 5:151-153, 1989; Corpet et al., Nuc. Acids Res. 16:10881-90, 1988; Huang et al., Comp. Appls Biosci. 8:155-65, 1992; and Pearson et al., Meth. Mol. Biol. 24:307-31, 1994. Altschul et al., Nature Genet. 6:119-29, 1994, presents a detailed consideration of sequence alignment methods and homology calculations.

The alignment tools ALIGN (Myers and Miller, *CABIOS* 4:11-17, 1989) or LFASTA (Pearson and Lipman, *PNAS*. USA 85:2444, 1988) may be used to perform sequence comparisons (Internet Program © 1996, W. R. Pearson and the University of Virginia, "fasta20u63" version 2.0u63, release date December 1996). ALIGN compares entire sequences against one another, while LFASTA compares regions of local similarity. These alignment tools and their respective tutorials are available on the Internet at the NCSA web-site.

For comparisons of amino acid sequences of greater than about 30 amino acids, the Blast 2 sequences function is employed using the default BLOSUM62 matrix set to default parameters, (gap existence cost of 11, and a per residue gap cost of 1). When aligning short peptides (fewer than around 30 amino acids), the alignment should be performed using the Blast 2 sequences function, employing the PAM30 matrix set to default parameters (open gap 9, extension gap 1 penalties). Proteins with even greater similarity to the reference sequences will show increasing percentage identities when assessed by this method, such as at least 90%, at least 92%, at least 94%, at least 95%, at least 97%, at least 98%, or at least 99% sequence identity.

An alternative indication that two nucleic acid molecules are closely related is that the two molecules hybridize to each other under stringent conditions. Stringent conditions are sequence-dependent and are different under different environmental parameters. Generally, stringent conditions are selected to be about 5°C to 20°C lower than the thermal melting point ( $T_m$ ) for the specific sequence at a defined ionic strength and pH. The  $T_m$  is the temperature (under defined ionic strength and pH) at which 50% of the target sequence hybridizes to a perfectly matched probe. Conditions for nucleic acid hybridization and calculation of stringencies can be found in Sambrook *et al.*, In *Molecular Cloning: A Laboratory Manual*, Cold Spring Harbor, New York, 1989; and Tijssen, *Laboratory Techniques in Biochemistry and Molecular Biology* Part I, Ch. 2, Elsevier, New York, 1993. Nucleic acid molecules that hybridize to the disclosed apoptosis-modifying fusion protein sequences under stringent conditions will typically hybridize to a probe (based on the entire fusion protein encoding sequence, an entire domain, or other selected portions of the encoding sequence) under wash conditions of 0.2 x SSC, 0.1% SDS at 65°C.

Nucleic acid sequences that do not show a high degree of identity may nevertheless encode similar amino acid sequences, due to the degeneracy of the genetic code. It is understood that changes in

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nucleic acid sequence can be made using this degeneracy to produce multiple nucleic acid sequences that encode substantially the same protein.

**Specific binding agent:** An agent that binds substantially only to a defined target. Thus a Bcl- $x_L$ -DTR-specific binding agent binds substantially only the Bcl- $x_L$ -DTR protein in a specific preparation. As used herein, the term "Bcl- $x_L$ -DTR-specific binding agent" includes Bcl- $x_L$ -DTR antibodies and other agents that bind substantially only to a Bcl- $x_L$ -DTR protein in that preparation.

Anti-Bcl-x<sub>L</sub>-DTR antibodies may be produced using standard procedures described in a number of texts, including Harlow and Lane (*Using Antibodies, A Laboratory Manual*, CSHL, New York, 1999, ISBN 0-87969-544-7). The determination that a particular agent binds substantially only to Bcl-x<sub>L</sub>-DTR protein may readily be made by using or adapting routine procedures. One suitable *in vitro* assay makes use of the Western blotting procedure (described in many standard texts, including Harlow and Lane, 1999). Western blotting may be used to determine that a given protein binding agent, such as an anti-Bcl-x<sub>L</sub>-DTR monoclonal antibody, binds substantially only to the Bcl-x<sub>L</sub>-DTR protein.

Alternately, because the disclosed apoptosis-modifying proteins are fusion proteins, they can be detected using antibodies to one or the protein domains used in their construction. For instance, fusions containing Bcl-x<sub>L</sub> can be detected using the monoclonal antibody 2H12 (Hsu and Youle, *J. Biol. Chem.* 272:13829-13834, 1997; now available from Neo Markers, Union City, CA, clone #2H121-3) or other professionally available antibody preparations, for instance, polyclonal anti-Bcl-x<sub>L</sub>/x<sub>S</sub> #06-851 from Upstate Biotechnology, Lake Placid, NY; polyclonal rabbit anti-Bcl-x<sub>L</sub> #65189E from PharMingen, San Diego, CA; and rabbit polyclonal (#B22630-050/B22630-150) or mouse monoclonal (B61220-050/B61220-150) anti-Bcl-x<sub>L</sub> from Transduction Laboratories, Lexington, KY). Antibodies that recognize diphtheria toxin are, for instance, available from the Centers for Disease Control, Atlanta, GA.

Shorter fragments of antibodies can also serve as specific binding agents. For instance, FAbs, Fvs, and single-chain Fvs (SCFvs) that bind to Bcl-x<sub>L</sub>-DTR would be Bcl-x<sub>L</sub>-DTR-specific binding agents.

Target cell binding affinity: The physical interaction between a target cell and an apoptosis-modifying fusion protein as disclosed in this invention can be examined by various methods. Alternatively, the ability of fusion protein to compete for binding to its target cell with either native targeting domain or antibody that recognizes the targeting domain binding site on the target cell can be measured. This allows the calculation of relative binding affinities through standard techniques.

Therapeutically effective amount of an apoptosis-modifying fusion protein: A quantity of apoptosis-modifying fusion protein sufficient to achieve a desired effect in a subject being treated. For instance, this can be the amount necessary to measurably inhibit or enhance apoptosis in a target cell.

An effective amount of apoptosis-modifying fusion protein may be administered in a single dose, or in several doses, for example daily, during a course of treatment. However, the effective amount of fusion protein will be dependent on the fusion protein applied, the subject being treated, the severity and type of the affliction, and the manner of administration of the fusion protein. For example,

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a therapeutically effective amount of fusion protein can vary from about 0.01 mg/kg body weight to about 1 g/kg body weight.

The fusion proteins disclosed in the present invention have equal application in medical and veterinary settings. Therefore, the general term "subject being treated" is understood to include all animals (e.g., humans, apes, dogs, cats, horses, and cows), and particularly mammals, that are or may suffer from a chronic or acute condition or injury that causes apoptosis, or a lack thereof, susceptible to modification using molecules of the current invention.

**Transformed:** A transformed cell is a cell into which has been introduced a nucleic acid molecule by molecular biology techniques. As used herein, the term transformation encompasses all techniques by which a nucleic acid molecule might be introduced into such a cell, including transfection with viral vectors, transformation with plasmid vectors, and introduction of naked DNA by electroporation, lipofection, and particle gun acceleration.

**Transgenic cell:** A transgenic cell is one that has been transformed with a recombinant nucleic acid molecule.

Vector: A nucleic acid molecule as introduced into a host cell, thereby producing a transformed host cell. A vector may include nucleic acid sequences that permit it to replicate in a host cell, such as an origin of replication. A vector may also include one or more selectable marker genes and other genetic elements known in the art.

# 20 II. Construction, Expression, and Purification of Apoptosis-Modifying Fusion Proteins.

### A. Selection of component domains.

This invention provides generally an apoptosis-modifying fusion protein that binds to a target cell, translocates across or otherwise integrates into the membrane(s) of the target cell, and modifies an apoptotic response of the target cell. As such, any target cell in which it is desirous to modify (either inhibit or enhance) apoptosis is an appropriate target for a bispecific fusion protein. The choice of appropriate protein binding domain for incorporation into the disclosed apoptosis-modifying fusion protein will be dictated by the target cell or cell population chosen. Examples of targeting domains include, for instance, nontoxic cell binding domains or components of bacterial toxins (such as diphtheria toxin or anthrax toxin), growth factors (such as epidermal growth factor), monoclonal antibodies, cytokines, and so forth, as well as targeting competent variants and fragments thereof.

The choice of appropriate Bcl-2 family member-derived apoptosis-modifying domain will depend on the manner in which the target cell's response to apoptosis is to be modified. Where apoptosis is to be inhibited by the resultant fusion protein, anti-death members of the Bcl-2 protein family are appropriate sources for apoptosis-modifying domains. One such fusion protein is Bcl- $x_L$ -DTR, which employs the long form of Bcl- $x_L$ , as the apoptosis-modifying domain. Alternately, where enhancement of apoptosis is desired, pro-death members of the Bcl-2 family of proteins will be

appropriate. For instance, Bad-DTTR employs the pro-death protein Bad as its apoptosis-modifying domain.

Translocation of the apoptosis-modifying fusion protein into the target cell is important. A translocation domain may be included in the fusion protein as a separate, third domain. This could be supplied from a third protein, unrelated to the cell-binding and apoptosis-modifying domains, or be a translocation domain of one of these proteins (e.g., the diphtheria toxin translocation (DTT) domain used in Bad-DTTR). The DTT domain contains several hydrophobic and amphipathic alpha helices and, after insertion into cell membranes, creates voltage dependent ion channels (Kagan et al., Proc Natl Acad Sci USA 78:4950-4954, 1981; Donovan et al., Proc Natl Acad Sci USA 78:172-176, 1981).

Alternately, the translocation function can be provided through the use of a cell-binding domain or apoptosis-modifying domain that confers the additional functionality of membrane translocation or integration. This is true in Bcl-x<sub>L</sub>-DTR, wherein Bcl-x<sub>L</sub> provides both the apoptosis-modifying ability and translocation into the cell.

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### B. Assembly

The construction of fusion proteins from domains of known proteins is well known. In general, a nucleic acid molecule that encodes the desired protein domains are joined using standard genetic engineering techniques to create a single, operably linked fusion oligonucleotide. Appropriate molecular biological techniques may be found in Sambrook et al., In Molecular Cloning: A Laboratory Manual, Cold Spring Harbor, New York, 1989. Specific examples of genetically engineered multi-domain proteins, including those joined by various linkers, can be found in the following patent documents:

U.S. Patent No. 5,834,209 to Korsmeyer;

U.S. Patent No. 5,821,082 to Chinnadurai;

U.S. Patent No. 5,696,237 to FitzGerald et al.;

U.S. Patent No. 5,668,255 to Murphy;

U.S. Patent No. 5,587,455 to Berger et al.

WO 98/17682 to Korsmeyer; and

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WO 98/12328 to Horne et al.

It will usually be convenient to generate various control molecules for comparison to an apoptosis-modifying fusion protein, in order to measure the specificity of the apoptosis modification provided by each fusion protein. Appropriate control molecules may include one or more of the native proteins used in construction of the fusion, or fragments or mutants thereof.

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### C. Expression

One skilled in the art will understand that there are myriad ways to express a recombinant protein such that it can subsequently be purified. In general, an expression vector carrying the nucleic

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acid sequence that encodes the desired protein will be transformed into a microorganism for expression. Such microorganisms can be prokaryotic (bacteria) or eukaryotic (e.g., yeast). One appropriate species of bacteria is *Escherichia coli* (E. coli), which has been used extensively as a laboratory experimental expression system. A eukaryotic expression system will be preferred where the protein of interest requires eukaryote-specific post-translational modifications such as glycosylation. Also, protein can be expressed using a viral (e.g., vaccinia) based expression system.

Protein can also be expressed in animal cell tissue culture, and such a system will be appropriate where animal-specific protein modifications are desirable or required in the recombinant protein.

The expression vector can include a sequence encoding a synthesis targeting peptide, positioned in such a way as to be fused to the coding sequence of the apoptosis-modifying fusion protein. This allows the apoptosis-modifying fusion protein to be targeted to specific sub-cellular or extra-cellular locations. Various appropriate prokaryotic and eukaryotic targeting peptides, and nucleic acid molecules encoding such, are well known to one of ordinary skill in the art. In a prokaryotic expression system, a signal sequence can be used to secrete the newly synthesized protein. In a eukaryotic expression system, the targeting peptide would specify targeting of the hybrid protein to one or more specific sub-cellular compartments, or to be secreted from the cell, depending on which peptide is chosen. Through the use of a eukaryotic secretion signal sequence, the apoptosis-modifying fusion protein can be expressed in a transgenic animal (for instance a cow, pig, or sheep) in such a manner that the protein is secreted into the milk of the animal.

Vectors suitable for stable transformation of culturable cells are also well known. Typically, such vectors include a multiple-cloning site suitable for inserting a cloned nucleic acid molecule, such that it will be under the transcriptional control of 5' and 3' regulatory sequences. In addition, transformation vectors include one or more selectable markers; for bacterial transformation this is often an antibiotic resistance gene. Such transformation vectors typically also contain a promoter regulatory region (e.g., a regulatory region controlling inducible or constitutive expression), a transcription initiation start site, a ribosome binding site, an RNA processing signal, and a transcription termination site, each functionally arranged in relation to the multiple-cloning site. For production of large amounts of recombinant proteins, an inducible promoter is preferred. This permits selective production of the recombinant protein, and allows both higher levels of production than constitutive promoters, and enables the production of recombinant proteins that may be toxic to the expressing cell if expressed constitutively.

In addition to these general guidelines, protein expression/purification kits are produced commercially. See, for instance, the QIAexpress<sup>TM</sup> expression system from QIAGEN (Chatsworth, CA) and various expression systems provided by INVITROGEN (Carlsbad, CA). Depending on the details provided by the manufactures, such kits can be used for production and purification of the disclosed apoptosis-modifying fusion proteins.

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### D. Purification

One skilled in the art will understand that there are myriad ways to purify recombinant polypeptides, and such typical methods of protein purification may be used to purify the disclosed apoptosis-modifying fusion proteins. Such methods include, for instance, protein chromatographic methods including ion exchange, gel filtration, HPLC, monoclonal antibody affinity chromatography and isolation of insoluble protein inclusion bodies after over production. In addition, purification affinity-tags, for instance a six-histidine sequence, may be recombinantly fused to the protein and used to facilitate polypeptide purification. A specific proteolytic site, for instance a thrombin-specific digestion site, can be engineered into the protein between the tag and the fusion itself to facilitate removal of the tag after purification.

Commercially produced protein expression/purification kits provide tailored protocols for the purification of proteins made using each system. See, for instance, the QIAexpress<sup>TM</sup> expression system from QIAGEN (Chatsworth, CA) and various expression systems provided by INVITROGEN (Carlsbad, CA). Where a commercial kit is employed to produce a bispecific fusion protein, the manufacturer's purification protocol is a preferred protocol for purification of that protein. For instance, proteins expressed with an amino-terminal hexa-histidine tag can be purified by binding to nickel-nitrilotriacetic acid (Ni-NTA) metal affinity chromatography matrix (*The QIAexpressionist*, QIAGEN, 1997).

Alternately, the binding specificities of the cell-binding/targeting domain of the disclosed apoptosis-modifying protein may be exploited to facilitate specific purification of the proteins. A preferred method of performing such specific purification would be column chromatography using column resin to which the target cell surface receptor, or an appropriate epitope or fragment or domain of the target molecule, has been attached.

If the apoptosis-modifying fusion protein is produced in a secreted form, e.g. secreted into the milk of a transgenic animal, purification will be from the secreted fluid. Alternately, purification may be unnecessary if it is appropriate to apply the fusion protein directly to the subject in the secreted fluid (e.g. milk).

### III. Variation of a Bispecific Fusion Protein

### A. Sequence Variants

The binding and apoptosis-modifying characteristics of the apoptosis-modifying fusion proteins disclosed herein lies not in the precise amino acid sequence, but rather in the three-dimensional structure inherent in the amino acid sequences encoded by the DNA sequences. It is possible to recreate the functional characteristics of any of these proteins or protein domains of this invention by recreating the three-dimensional structure, without necessarily recreating the exact amino acid sequence. This can be achieved by designing a nucleic acid sequence that encodes for the three-dimensional structure, but which differs, for instance by reason of the redundancy of the genetic code.

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Similarly, the DNA sequence may also be varied, while still producing a functional apoptosis-modifying fusion protein.

Variant apoptosis-modifying fusion proteins include proteins that differ in amino acid sequence from the disclosed sequence but that share structurally significant sequence homology with any of the provided proteins. Variation can occur in any single domain of the fusion protein (e.g., the binding or apoptosis-modifying domain, or, where appropriate, the linker). Variation can also occur in more than one of such domains in any particular variant protein. Such variants may be produced by manipulating the nucleotide sequence of, for instance, a Bcl-x<sub>L</sub>-encoding sequence, using standard procedures, such as site-directed mutagenesis or PCR. The simplest modifications involve the substitution of one or more amino acids for amino acids having similar biochemical properties. These so-called conservative substitutions are likely to have minimal impact on the activity of the resultant protein, especially when made outside of the binding site or active site of the respective domain. The regions or sub-domains of DTR that are essential to targeted cell binding are known in the art (see, Choe et al., Nature 357:216-222, 1992; Parker and Pattus, TIBS 18:391-395, 1993). Regions or sub-domains of Bcl-2 proteins responsible for apoptosis modification are under intense study; much of this work is reviewed in Adams and Cory, Science 281:1322-1326.

Table 1 shows amino acids that may be substituted for an original amino acid in a protein, and which are regarded as conservative substitutions.

20 **Table 1** 

	Original Residue	Conservative Substitutions
	Ala	ser
	Arg	lys
25	Asn	gln; his
	Asp	glu
	Cys	ser
	Gln	asn
	Glu	asp
30	Gly	pro
	His	asn; gln
	Ile	leu; val
	Leu	ile; val
	Lys	arg; gln; glu
35	Met	leu; ile
	Phe	met; leu; tyr
	Ser	thr
40	Thr	ser
	Тгр	ţyr
	Туг	trp; phe
	Val	ile; leu

More substantial changes in protein structure may be obtained by selecting amino acid substitutions that are less conservative than those listed in Table 1. Such changes include changing residues that differ more significantly in their effect on maintaining polypeptide backbone structure

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(e.g., sheet or helical conformation) near the substitution, charge or hydrophobicity of the molecule at the target site, or bulk of a specific side chain. The following substitutions are generally expected to produce the greatest changes in protein properties: (a) a hydrophilic residue (e.g., seryl or threonyl) is substituted for (or by) a hydrophobic residue (e.g., leucyl, isoleucyl, phenylalanyl, valyl or alanyl); (b) a cysteine or proline is substituted for (or by) any other residue; (c) a residue having an electropositive side chain (e.g., lysyl, arginyl, or histadyl) is substituted for (or by) an electronegative residue (e.g., glutamyl or aspartyl); or (d) a residue having a bulky side chain (e.g., phenylalanine) is substituted for (or by) one lacking a side chain (e.g., glycine).

Variant binding domain, apoptosis-modifying domain, or fusion protein-encoding sequences may be produced by standard DNA mutagenesis techniques, for example, M13 primer mutagenesis. Details of these techniques are provided in Sambrook *et al.*, In *Molecular Cloning: A Laboratory Manual*, Cold Spring Harbor, New York, 1989, Ch. 15. By the use of such techniques, variants may be created which differ in minor ways from the apoptosis-modifying fusion protein-encoding sequences disclosed. DNA molecules and nucleotide sequences which are derivatives of those specifically disclosed herein and that differ from those disclosed by the deletion, addition, or substitution of nucleotides while still encoding a protein that binds to a target cell, translocates or otherwise integrates into the target cell membrane(s), and thereby modifies an apoptotic response in the target cell, are comprehended by this invention. In their most simple form, such variants may differ from the disclosed sequences by alteration of the coding region to fit the codon usage bias of the particular organism into which the molecule is to be introduced.

Alternatively, the coding region may be altered by taking advantage of the degeneracy of the genetic code to alter the coding sequence such that, while the nucleotide sequence is substantially altered, it nevertheless encodes a protein having an amino acid sequence substantially similar to the disclosed fusion sequences. For example, the 57th amino acid residue of the Bcl-x<sub>L</sub>-DTR protein is alanine. The nucleotide codon triplet GCC encodes this alanine residue. Because of the degeneracy of the genetic code, three other nucleotide codon triplets – (GCG, GCT and GCA) - also code for alanine. Thus, the nucleotide sequence of the disclosed Bcl-x<sub>L</sub>-DTR encoding sequence could be changed at this position to any of these three alternative codons without affecting the amino acid composition or characteristics of the encoded protein. Based upon the degeneracy of the genetic code, variant DNA molecules may be derived from the cDNA and gene sequences disclosed herein using standard DNA mutagenesis techniques as described above, or by synthesis of DNA sequences. Thus, this invention also encompasses nucleic acid sequences which encode an apoptosis-modifying fusion protein, but which vary from the disclosed nucleic acid sequences by virtue of the degeneracy of the genetic code. Apoptosis assays, including those discussed herein, can be used to determine the ability of the resultant variant protein to modify apoptosis.

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### B. Peptide Modifications

The present invention includes biologically active molecules that mimic the action of the apoptosis-modifying fusion proteins of the present invention, and specifically modify apoptosis in a target cell. The proteins of the invention include synthetic versions of naturally-occurring proteins described herein, as well as analogues (non-peptide organic molecules), derivatives (chemically functionalized protein molecules obtained starting with the disclosed peptide sequences) and variants (homologs) of these proteins that specifically bind to a chosen target cell and modify apoptosis in that target cell. Each protein of the invention is comprised of a sequence of amino acids, which may be either L- and/or D- amino acids, naturally occurring and otherwise.

Proteins may be modified by a variety of chemical techniques to produce derivatives having essentially the same activity as the unmodified proteins, and optionally having other desirable properties. For example, carboxylic acid groups of the protein, whether carboxyl-terminal or side chain, may be provided in the form of a salt of a pharmaceutically-acceptable cation or esterified to form a  $C_1$ - $C_{16}$  ester, or converted to an amide of formula  $NR_1R_2$  wherein  $R_1$  and  $R_2$  are each independently H or  $C_1$ - $C_{16}$  alkyl, or combined to form a heterocyclic ring, such as a 5- or 6- membered ring. Amino groups of the protein, whether amino-terminal or side chain, may be in the form of a pharmaceutically-acceptable acid addition salt, such as the HCl, HBr, acetic, benzoic, toluene sulfonic, maleic, tartaric and other organic salts, or may be modified to  $C_1$ - $C_{16}$  alkyl or dialkyl amino or further converted to an amide.

Hydroxyl groups of the protein side chains may be converted to C<sub>1</sub>-C<sub>16</sub> alkoxy or to a C<sub>1</sub>-C<sub>16</sub> ester using well-recognized techniques. Phenyl and phenolic rings of the protein side chains may be substituted with one or more halogen atoms, such as fluorine, chlorine, bromine or iodine, or with C<sub>1</sub>-C<sub>16</sub> alkyl, C<sub>1</sub>-C<sub>16</sub> alkoxy, carboxylic acids and esters thereof, or amides of such carboxylic acids. Methylene groups of the protein side chains can be extended to homologous C<sub>2</sub>-C<sub>4</sub> alkylenes. Thiols can be protected with any one of a number of well-recognized protecting groups, such as acetamide groups. Those skilled in the art will also recognize methods for introducing cyclic structures into the proteins of this invention to select and provide conformational constraints to the structure that result in enhanced stability.

Peptidomimetic and organomimetic embodiments are also within the scope of the present invention, whereby the three-dimensional arrangement of the chemical constituents of such peptido-and organomimetics mimic the three-dimensional arrangement of the protein backbone and component amino acid side chains in the apoptosis-modifying fusion protein, resulting in such peptido- and organomimetics of the proteins of this invention having measurable or enhanced neutralizing ability. For computer modeling applications, a pharmacophore is an idealized, three-dimensional definition of the structural requirements for biological activity. Peptido- and organomimetics can be designed to fit each pharmacophore with current computer modeling software (using computer assisted drug design or CADD). See Walters, Computer-Assisted Modeling of Drugs, in Klegerman & Groves (eds.).

Pharmaceutical Biotechnology, Interpharm Press: Buffalo Grove, IL, 165-174, 1993; and Munson (ed.) Principles of Pharmacology, Ch. 102, 1995, for descriptions of techniques used in CADD. Also included within the scope of the invention are mimetics prepared using such techniques that produce apoptosis-modifying fusion proteins.

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### IV. Activity of Fusion Proteins

Because the apoptosis modifying fusion proteins provided in this invention are at least bifunctional, having one domain required for cell targeting and another for modification of apoptosis in the target cell, there are at least two activities for each fusion protein. These include the affinity of the fusion protein for a specific target cell, class of target cells, tissue type, etc., (the binding ability), and the ability of the targeted fusion to effect apoptosis in the targeted cell (the apoptosis-modifying ability). Various techniques can be used to measure each of these activities.

### A. Fusion protein affinity for target cells

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Fusion protein affinity for the target cell, or to a specific cell surface protein, can be determined using various techniques known in the art. One common method is a competitive binding assay (Greenfield *et al.*, *Science* 238:536-539, 1987). In a competitive binding assay, radiolabeled receptor binding protein, or a derivative or fragment thereof, is exposed to the target native cell in the presence of one or varying concentrations of cold fusion protein and other competitive proteins being assayed. The amount of bound, labeled binding protein can be measured through standard techniques to determine the relative cell-binding affinity of the fusion.

### B. Apoptosis inhibition or enhancement

Several *in vitro* systems are used to study the process of apoptosis. These include growth factor deprivation in culture, treatment of cells with staurosporine (a non-specific protein kinase inhibitor), application of γ-radiation, and infection by viruses. Apoptosis as stimulated by any signal can be examined or measured in a variety of ways. Detection of morphological indicia of apoptosis (*e.g.*, membrane blebbing, chromatin condensation and fragmentation, and formation of apoptotic bodies) can provide qualitative information. More quantitative techniques include TUNEL staining, measurement of DNA laddering, measurement of known caspase substrate degradation (*e.g.*, PARP; Taylor *et al.*, *J. Neurochem.* 68:1598-605, 1997) and counting dying cells, which have become susceptible to dye uptake. Many companies (*e.g.*, Trevigen, Gaithersburg MD; and R&D Systems, Minneapolis MN) also supply kits useful for the measurement of apoptosis by various methods; many of these kits can be used to measure the effect of disclosed apoptosis-modifying fusion proteins on apoptosis in a variety of cell types.

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By way of example, the following techniques can be used to measure the modification of apoptosis caused in a target cell after it is contacted with an apoptosis-modifying fusion protein of the present invention.

**TUNEL staining:** Terminal end-labeling of broken DNA fragments with labeled nucleotides; the reaction is catalyzed by terminal nucleotide transferase (TdT). Various kits are available for measurement of TUNEL staining, including the TdT *in situ* TUNEL-based Kit (R&D Systems, Minneapolis, MN).

Measurement of Caspase Activity: Another common system for measuring the amount of apoptosis occurring in an *in vitro* cell system is to measure the poly-ADP ribose Polymerase (PARP) cleavage after treatment of the cells with various stimulators of apoptosis. PARP is a known substrate for a caspase (CPP-32) involved in the apoptotic kinase cascade. This technique can be carried out using essentially the following protocol. HeLa cells are plated in growth media (e.g., EMEM containing 10% FBS at  $2 \times 10^5$  cells/ml) and treated with one or more concentrations of an apoptosis-modifying fusion protein according to the current invention. The appropriate concentration for each fusion protein will depend on various factors, including the fusion protein in question, target cell, and apoptosis stimulator employed. Appropriate concentrations may include, for instance, about  $0.5 \,\mu\text{M}$  to about 3  $\,\mu\text{M}$  final. It may be beneficial to treat the target cells multiple times with the fusion protein, usually after a period of incubation ranging from one to several hours. For instance, cells can be exposed to the fusion protein a second time about fifteen hours after the original treatment. Usually the same concentration(s) of fusion protein is used in the second treatment.

Apoptosis is induced immediately the last treatment of the target cells with apoptosis modifying fusion protein. The method of application of the apoptosis stimulus, amount applied, appropriate incubation time with the inducer, etc., will be specific to the type of apoptosis induction used (e.g., staurosporine, γ-radiation, virions, caspase inhibitor, etc.). Such details are in general well known to those of ordinary skill in the art. After an appropriate incubation period, cell lysates are prepared from the treated target cells, and aliquots loaded onto SDS-PAGE for analysis. The resultant gels can be examined using any of various well-known techniques, for instance by performing a Western analysis immunoblotted with anti-PARP polyclonal antibody (Boehringer Mannheim GmbH, Germany), developed with enhanced chemiluminescence.

Known inhibitors of apoptotic pathways, for instance caspase inhibitors, can be used to compare the effectiveness of apoptosis-modifying fusion proteins of this invention. Appropriate inhibitors include viral caspase inhibitors like crmA and baculovirus p35, and peptide-type caspase inhibitors including zVAD-fmk, YVAD- and DEVD-type inhibitors. See Rubin, *British Med. Bulle.*, 53:617-631, 1997.

# V. Incorporation of Apoptosis-Modifying Fusion Proteins into Pharmaceutical Compositions

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Pharmaceutical compositions that comprise at least one apoptosis modifying fusion protein as described herein as an active ingredient will normally be formulated with an appropriate solid or liquid carrier, depending upon the particular mode of administration chosen. The pharmaceutically acceptable carriers and excipients useful in this invention are conventional. For instance, parenteral

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formulations usually comprise injectable fluids that are pharmaceutically and physiologically acceptable fluid vehicles such as water, physiological saline, other balanced salt solutions, aqueous dextrose, glycerol or the like. Excipients that can be included are, for instance, other proteins, such as human serum albumin or plasma preparations. If desired, the pharmaceutical composition to be administered may also contain minor amounts of non-toxic auxiliary substances, such as wetting or emulsifying agents, preservatives, and pH buffering agents and the like, for example sodium acetate or sorbitan monolaurate.

One or more other medicinal and pharmaceutical agents, for instance chemotherapeutic, antiinflammatory, anti-viral or antibiotic agents, also may be included.

The dosage form of the pharmaceutical composition will be determined by the mode of administration chosen. For instance, in addition to injectable fluids, topical and oral formulations can be employed. Topical preparations can include eye drops, ointments, sprays and the like. Oral formulations may be liquid (e.g., syrups, solutions or suspensions), or solid (e.g., powders, pills, tablets, or capsules). For solid compositions, conventional non-toxic solid carriers can include pharmaceutical grades of mannitol, lactose, starch, or magnesium stearate. Actual methods of preparing such dosage forms are known, or will be apparent, to those skilled in the art.

The pharmaceutical compositions that comprise apoptosis modifying fusion protein will preferably be formulated in unit dosage form, suitable for individual administration of precise dosages. One possible unit dosage contains approximately 100 µg of protein. The amount of active compound administered will be dependent on the subject being treated, the severity of the affliction, and the manner of administration, and is best left to the judgment of the prescribing clinician. Within these bounds, the formulation to be administered will contain a quantity of the active component(s) in an amount effective to achieve the desired effect in the subject being treated. Ideally, a sufficient amount of the protein is administered to achieve tissue a concentration at the site of action that is at least as great as *in vitro* concentrations that have been shown to be effective.

### VI. Clinical Use of Apoptosis-Modifying Fusion Proteins

The targeted apoptosis-regulating activity exhibited by the disclosed fusion proteins makes these fusions useful for treating neurodegenerative diseases, transient ischemic injuries, and unregulated cell growth (as may for instance be found in tumors and various cancers

The apoptosis-modifying fusion proteins of this invention may be administered to humans, or other animals on whose cells they are effective, in various manners such as topically, orally, intravenously, intramuscularly, intraperitoneally, intranasally, intradermally, intrathecally, and subcutaneously. Administration of apoptosis-modifying fusion protein composition is indicated for patients with a neurodegenerative disease, suffering from stroke episodes or transient ischemic injury, or experiencing uncontrolled or unwanted cell growth, such as malignancies or neoplasms. More generally, treatment is appropriate for any condition in which it would be beneficial to alter (either

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inhibit or enhance) an apoptotic response of a subject's target cells. The particular mode of administration and the dosage regimen will be selected by the attending clinician, taking into account the particulars of the case (e.g., the patient, the disease, and the disease-state involved). By way of example, when apoptosis is being generally inhibited over the short term, for instance after transient ischemic neuronal injury, it may be advantageous to administer relatively large doses of fusion protein repeatedly for a few days. In contrast, if apoptosis is being enhanced in specific cell types, for instance in hyper-proliferative cells, it may be of greater benefit to apply a relatively small dose of fusion protein repeatedly, e.g., daily, weekly, or monthly, over a much longer period of treatment.

In addition to their individual use, apoptosis-modifying fusion proteins as disclosed in the current invention may be combined with various therapeutic agents. For instance, an apoptosis-enhancing fusion protein such as Bad-DTTR may be combined with or used in association with other chemotherapeutic or chemopreventive agents for providing therapy against neoplasms or other hyper-proliferative cellular growth conditions. Various such anti-cancer agents are well known to those of ordinary skill in the art. Apoptosis-modifying fusion proteins according to this invention also can be supplied in the form of kits; the construction of kits appropriate for therapeutically active proteins known.

### **EXAMPLE 1**

### Construction of functional apoptosis-modifying fusion proteins

### 20 A. $Bcl-x_L-DTR$

The human Bcl- $x_L$  gene from codon 1 through 233 (provided by Dr. Craig Thompson) and the diphtheria toxin gene from codon 384 through 535 (receptor binding domain, DTR), containing mutations in codons 508 and 525, were amplified by PCR so that the DT mutation at codon 525 was mutated to the wild-type by the PCR primer. The two PCR products, Bcl- $x_L$ 1-233 and DT384-535 (DTR), were digested with NdeI / NotI and NotI / XhoI restriction enzymes, respectively. Bcl- $x_L$  was fused to the 5' end of the DTR gene with a linker (GCG TAT TCT GCG GCC GCG, SEQ ID NO: 5) to encode for Ala Tyr Ser Ala Ala Ala (SEQ ID NO: 6) between the two peptide domains. The two digested fragments were ligated into the prokaryotic expression vector pET16b (Novagen, Inc., Madison, WI) cut with NdeI and XhoI (FIG 1A). The codon 508 of DTR was mutated to the wild-type form (Phe  $\rightarrow$  Ser) and the first three nucleotides (CAT) of NdeI were deleted by double-stranded, site-directed mutagenesis. FIG 1A shows a schematic representation of the resultant apoptosis-modifying fusion protein, Bcl- $x_L$ -DTR.

As controls, human Bcl- $x_L$  (codons 1-233) and DTR (codons 384-535 of DT) genes were separately subcloned into pET16b vectors through NdeI and XhoI sites. The histidine tag and Factor Xa digestion site sequences from the expression vector were upstream of Bcl- $x_L$ , DTR and Bcl- $x_L$ -DTR coding sequences. All three expression constructs were verified by sequencing.

For expression in eukaryotic cells, Bcl-x<sub>L</sub>-DTR and Bcl-x<sub>L</sub> gene constructs were inserted in the eukaryotic vector pcDNA3 (Invitrogen, Carlsbad, CA) and the constructs verified by sequencing.

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### B. Bad-DTTR

The full-length mouse Bad gene with two Ser → Ala mutations at codons 112 and 136 (Schendel et al., Proc. Natl. Acad. Sci. USA 94:5113-5118, 1997), and the diphtheria toxin gene from codons 194 through 535 (translocation and receptor-binding domains, DTTR, without the catalytic domain) were amplified by PCR. The two PCR products, Bad and DT194-535 (DTTR), were used as templates to directly fuse the Bad gene to the 5' end of DTTR gene by a second round of PCR. The Bad-DTTR gene fragment was digested with NdeI and XhoI and ligated into the prokaryotic expression vector pET16b (Novagen, Inc., Madison, WI) digested with NdeI and XhoI. The histidine tag and Factor Xa digestion site sequences from the expression vector were upstream of the Bad-DTTR coding sequence. The expression construct was verified by sequencing.

### **EXAMPLE 2**

### Expression and Purification of functional apoptosis-modifying fusion proteins

### A. Prokaryotic Expression

To produce proteins for extracellular addition to cells, the Bcl-x<sub>L</sub> gene, the DTR domain gene and the Bcl-x<sub>L</sub>-DTR fusion gene were cloned into pET16b. *E. coli* BL21(DE3) strain was used to express Bcl-x<sub>L</sub>-DTR, Bad-DTTR, Bcl-x<sub>L</sub> and DTR, with addition of 1mM IPTG when the OD260 reached 0.5-0.7. After two hours incubation and lysis by French press the inclusion bodies were collected and dissolved in 6M guanidine-HCl.

### 20 B. Eukaryotic Expression

Transfection of HeLa cells with the fusion constructs was performed as reported previously (Wolter *et al.*, J Cell Biol 139:1281-1292, 1997). HeLa cells were harvested and lysed in 1 ml buffer containing 100  $\mu$ g / ml leupeptin 20 hours after transfection, centrifuged to remove cell debris, and 15  $\mu$ l aliquots of the supernatant loaded onto 10-20% SDS-PAGE. The plasmid encoded proteins were visualized by immunoblotting with anti-Bcl-xL monoclonal antibody (2H12, Trevigen, Gaithersburg, MD) and developed using enhanced chemiluminescence (Amersham Inc., Arlington Heights, IL). Results are shown in FIG 1B.

### C. Purification

Histidine tag binding resin (Novagen, Inc., Madison, WI) was used to purify Bcl-x<sub>L</sub>-DTR, Bad-DTTR, Bcl-x<sub>L</sub>, and DTR. Proteins were refolded by dialysis against, or dilution into, 100 mM Tris-Acetate (pH 8.0) / 0.5 M arginine, concentrated with PEG15,000-20,000 and dialyzed against PBS. This yielded protein purified to greater than 90% homogeneity. The four proteins were subjected to 10-20% SDS-PAGE, visualized by immunoblotting with either anti-Bcl-x<sub>L</sub> monoclonal (2H12) or horse anti-DT polyclonal antibodies (Centers for Disease Control, Atlanta, GA) and developed as above. They were of the expected molecular weight on SDS PAGE and of the expected immunoreactivity to antibodies against Bcl-x<sub>L</sub> or DT on Western blots.

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### **EXAMPLE 3**

### Assays for measuring fusion protein binding to, and translocation into, target cells

### A. Competitive Binding Assay

Protein binding to the diphtheria toxin receptor was performed as previously reported (Greenfield *et al.*, *Science* 238:536-539, 1987) with the following modifications. DT was radiolabeled with I<sup>125</sup> using iodobeads (Pierce Chem. Co., Rockford, IL) as described by the manufacturer. Cos-7 cells, grown to confluency in 12 well costar plates, were analyzed for receptor binding and competition by incubation for three hours on ice. Results are reported in FIG 2. Cold competitor proteins, native DT (Δ), Bcl-x<sub>L</sub>-DTR (Δ), Bcl-x<sub>L</sub> (O), and DTR (•), were used to displace I<sup>125</sup> labeled DT tracer.

Native DT and Bcl-x<sub>L</sub>-DTR compete for DT receptor binding in the nanomolar concentration range. DT and the Bcl-x<sub>L</sub>-DTR fusion protein competed for I<sup>125</sup>-DT binding to its receptor to a similar extent although the affinity of the fusion was three times lower than that of native DT (FIG 2). Neither the Bcl-x<sub>L</sub> domain alone nor the DTR domain alone was able to compete for DT receptor binding. The more complete protein (Bcl-x<sub>L</sub>-DTR), where Bcl-x<sub>L</sub> is substituted for the DT translocation domain, folded such that DT receptor binding activity was retained whereas the isolated binding domain (DTR) did not. Addition of the DT A chain domain to the N-terminus of Bcl-x<sub>L</sub>-DTR further increased the affinity of the chimera to the DT receptor.

# 20 B. Assays for effective transport of the fusion protein into the target cell

Diphtheria toxin is endocytosed by cells and reaches low pH intracellular compartments. The low pH triggers a conformational change in the translocation domain, which allows this domain to insert into membranes and form channels. The toxicity of DT is blocked by lysosomotropic agents such as chloroquine, which increase the pH of intracellular compartments. Chloroquine at a concentration that blocks diphtheria toxin toxicity (10 µM) did not block the activity of Bcl-x<sub>1</sub>-DTR to inhibit poliovirus-induced cell death. Thus, the mechanism of membrane interaction of Bcl-x<sub>L</sub>-DTR differs to some extent from that of DT. However, brefeldin A, an inhibitor of vesicle traffic between the ER and the Golgi apparatus (Lippincott-Schwartz et al., Cell 67:601-616, 1991; Hunziker et al., Cell 67:617-627, 1991), does block the anti-apoptosis activity of Bcl-x<sub>L</sub>-DTR (Table 3). These results indicate that Bcl-x<sub>L</sub>-DTR must be endocytosed and suggest that Bcl-x<sub>L</sub>-DTR must reach the Golgi apparatus or the ER to prevent cell death. The subcellular location from which native Bcl-2 family members regulate apoptosis is currently under scrutiny (Hunziker et al., Cell 67:617-627, 1991). Several intracellular membrane locations, including the ER, appear able to mediate Bcl-2 family regulation of cell death (Krajewski et al., Cancer Res. 53:4701-4714, 1993). Bcl-x<sub>1</sub>-DTR may reach the ER to translocate into the cell cytosol or perhaps Bcl-x<sub>L</sub>-DTR, when bound closely to a membrane, can insert into that membrane and inhibit apoptosis in the membrane-intercalated form.

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### **EXAMPLE 4**

### Measurement of Bcl-x<sub>L</sub>-DTR apoptosis-inhibiting activity

## A. Apoptosis inhibition after transient cell transfection

To demonstrate that Bcl-x<sub>L</sub>-DTR is effective at inhibiting apoptosis when expressed from within the target cell, this construct and the control construct containing Bcl-x<sub>L</sub> were transiently transfected into HeLa cells. Assay of apoptosis inhibition after transient transfection was performed as reported previously (Wolter *et al.*, *J. Cell Biol.* 139:1281-1292, 1997). The Bcl-x<sub>L</sub>-DTR fusion gene blocked apoptosis after transient transfection into HeLa cells (FIG 1C) to an extent similar to that of the Bcl-x<sub>L</sub> gene after C-terminal tail truncation (Wolter *et al.*, *J Cell Biol.* 139:1281-1292, 1997).

# B. Inhibition of STS-induced apoptosis by extracellular treatment with Bcl-x<sub>L</sub>-DTR

Hoechst dye no. 33342 staining: The effectiveness of extracellular delivery of Bcl- $x_L$  or the Bcl- $x_L$ -DTR fusion protein for inhibiting the rate of cell death by apoptosis was examined as follows. Cos-7 cells at 3 x 10<sup>4</sup> cells/cm<sup>2</sup> in 100  $\mu$ l DMEM with 10% FBS were incubated with 0.1  $\mu$ M STS (O), 0.1  $\mu$ M STS plus 4.8  $\mu$ M Bcl- $x_L$ -DTR protein added to the medium ( $\Delta$ ) or 20  $\mu$ l of PBS ( $\square$ ). Apoptotic cells were quantified by staining with Hoechst dye no. 33342. Results in FIG 3A are presented as the average number of cells per field (magnification 160 x). For each point, at least 5 fields were counted in each of at least 3 wells. Bcl- $x_L$ -DTR dramatically decreased the rate of apoptosis in Cos-7 cells. Six different preparations of Bcl- $x_L$ -DTR were found to have activity and the apoptosis prevention activity was stable for at least 5 months when Bcl- $x_L$ -DTR was stored at 4 °C. Addition of Bcl- $x_L$ -DTR minutes before the addition of STS blocked more than 70% of Cos-7 cell death after 6 hours and more than 50% of cell death after 12 hours of STS exposure (FIG 3A).

DTR (Table 2). Bcl-x<sub>L</sub> protein added to Cos-7 cells, however, did not alter the extent of cell death induced by STS. A nontoxic DT mutant able to bind the DT receptor, CRM197, also had no effect on apoptosis induced by STS. To further test the role of DT receptor binding in apoptosis inhibition, cells expressing DT receptors were compared with cells lacking DT receptors. Mouse and rat cells are thousands of times less sensitive to DT than human or monkey cell lines due to a lack of the DT receptor (Pappenheimer *The Harvey Lectures* 76:45-73, 1982). Comparing human, monkey, mouse and rat cell lines revealed that those cells lacking the DT receptor, WEHI-7.1 and 9L, were insensitive to apoptosis protection by Bcl-x<sub>L</sub>-DTR (Table 2). The sensitivity of the six cell lines to DT toxicity, thought to reflect DT receptor levels, correlated with sensitivity to apoptosis prevention by Bcl-x<sub>L</sub>-DTR (Table 2).

The magnitude of apoptosis inhibition by extracellular Bcl- $x_L$ -DTR (FIG 3A, Table 2) was similar to that found by transfection of the fusion gene into cells (FIG 1C). Although fusion to the C-terminus of Bcl- $x_L$  inhibited bioactivity relative to native Bcl- $x_L$  after transfection (FIG 1C), a very substantial prevention of cell death was obtained at both the gene level and the protein level (FIG 3A).

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Thus the delivery of Bcl- $x_L$ -DTR is efficient and apoptosis can be prevented by delivery of Bcl- $x_L$  from the outside of cells.

Measurement of caspase activity: To confirm the results of cell death measurements by Hoechst staining and trypan blue dye exclusion, we examined caspase-induced cleavage of poly-ADP ribose polymerase (PARP). HeLa cells were plated in EMEM containing 10% FBS at 2 x 10<sup>5</sup> cells/ml and treated with two different preparations of Bcl-x<sub>L</sub>-DTR at 1.48 μM or 1 μM. Fifteen hours later, cells were treated again with Bcl-x<sub>L</sub>-DTR at 1.48 μM or 1 μM. Immediately after the second treatment, 0.8 μM STS was added. Three hours later, cell lysates were made and aliquots were loaded onto SDS-PAGE, immunoblotted with anti-PARP polyclonal antibody (Boehringer Mannheim GmbH, Germany) and developed with enhanced chemiluminescence. Lane a contains control HeLa cells not incubated with STS (uninduced cells); Lane b, HeLa cells treated with STS plus 1 μM Bcl-x<sub>L</sub>-DTR protein; Lane c, HeLa cells treated with STS plus 1.48 μM Bcl-x<sub>L</sub>-DTR protein; and Lane d, HeLa cells treated with STS and no fusion protein. HeLa cells incubated with Bcl-x<sub>L</sub>-DTR showed significantly less cleavage of PARP after apoptosis induction with STS (FIG 3B).

# 15 C. Inhibition of $\gamma$ -radiation-induced apoptosis by extracellular treatment with Bcl- $x_L$ -DTR

Radiation is a potent inducer of apoptosis in many hematopoetic cell types. The ability of Bcl- $x_L$ -DTR to prevent radiation-induced apoptosis was examined in the human T cell line, Jurkat. When added to the media (serum-free RPMI-1640 medium with insulin and transferrin) of Jurkat cells plated at  $10^5$  cells/ml a few minutes prior to induction of apoptosis by 10 gray  $\gamma$ -radiation, Bcl- $x_L$ -DTR (4.63  $\mu$ M) blocked almost half of the ensuing cell death (FIG 4A). Apoptotic cells were counted using Hoechst dye no. 33342. Control cells were not irradiated and not treated with Bcl- $x_L$ -DTR.

In a clonogenic assay measuring long term survival, Jurkat cells showed more than a 3-fold greater survival when  $Bcl-x_L-DTR$  was added to the media immediately prior to 5 gray  $\gamma$ -radiation.

# D. Inhibition of anti-Fas-induced apoptosis by extracellular treatment with Bcl-x<sub>L</sub>-DTR

Jurkat cells are also sensitive to apoptosis induced by antibody binding to the Fas/APO-1/CD95 receptor. The Fas pathway of apoptosis is one of the few pathways shown to be less sensitive or insensitive to apoptosis protection by Bcl-2 and Bcl-x<sub>L</sub> (Boise & Thompson *Proc. Natl. Acad. Sci. USA* 94:3759-3764, 1997; Memon *et al.*, *J. Immunol.* 155:4644-4652, 1995) and contrasts with radiation-induced apoptosis in this regard. Jurkat cells were plated at 10<sup>5</sup> cells/ml in serum-free RPMI-1640 medium with insulin and transferrin, and treated with 100 ng/ml anti-Fas antibody (CH11, Upstate Biotechnology, Lake Placid, NY) minutes after addition of Bcl-x<sub>L</sub>-DTR to a concentration 4.68 μM. Control cells were treated with PBS and no anti-Fas antibody. Fas antigen-induced apoptosis (measured by counting dying cells using Hoechst dye no. 33342) showed very little inhibition by Bcl-x<sub>L</sub>-DTR, although there was a statistically significant decrease in apoptosis between 2 and 4 hours in some experiments (FIG 4B). The degree of protection of different apoptosis pathways by extracellular Bcl-x<sub>L</sub>-DTR corresponded with that seen by transfection with the Bcl-x<sub>L</sub> gene.

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### E. Inhibition of poliovirus-induced apoptosis by extracellular treatment with Bcl-x<sub>1</sub>-DTR

Viruses induce a powerful apoptosis response in certain cells and prevention of this apoptosis 5 may have therapeutic utility (Hardwick, Adv. Pharm. 41:295-336, 1997). Poliovirus-induced apoptosis of HeLa cells was also examined for sensitivity to extracellular Bcl-x<sub>L</sub>-DTR, a system where inhibition of cell death by transfection with the Bcl-xL gene has been demonstrated (Castelli et al., J Exp. Med. 186:967-972, 1997). Adding Bcl-x<sub>L</sub>-DTR 30 minutes after infection of cells with low titers (MOI of 1 pfu/cell) of poliovirus (FIG 5) or with moderately high titers (MOI of 20 pfu/cell) of poliovirus prevented more than half of the cell death for up to 24 hours. Addition of extracellular Bclx<sub>L</sub> or the DTR domain proteins alone had no affect on poliovirus-induced apoptosis.

#### F. Competition of apoptosis inhibition

Caspase inhibitors block many pathways of apoptosis and are being explored for pharmacologic potential to inhibit cell death (Chen et al., Nature 385:434-439, 1997). zVAD-fmk and Boc-D-fink are powerful, broad specificity caspase inhibitors that block many apoptosis pathways (Henkart, Immunity 4:195-201, 1996). Apoptosis inhibition activity of zVAD-fmk and Boc-D-fmk was compared with that of Bcl-x<sub>L</sub>-DTR. HeLa cells were plated at a density of 1 x 10<sup>5</sup> cells/well in EMEM containing 10% FBS and antibiotics, infected with poliovirus at an MOI of 1 pfu/cell as reported previously (Castelli et al., J Exp Med 186:967-972, 1997) and immediately treated with negative control peptide zFA-fmk at 20 µM, Bcl-x<sub>L</sub>-DTR at 0.48 µM, or peptides zVAD-fmk or Boc-D-fmk at 20 µM. Cell viability was assessed by trypan blue dye exclusion 24 hours following addition of virus. zFA-fmk, zVAD-fmk and Boc-D-fmk were from Enzyme Systems Products, Dublin, CA.

Bcl-x<sub>L</sub>-DTR at 0.48 µM blocked cell death to a greater extent than either zVAD-fmk or Boc-D-fmk at 20 µM (FIG 5). Bcl-x<sub>L</sub>-DTR showed a strong inhibition of a potent and pathologically important apoptosis pathway. Interestingly, Bcl-x<sub>L</sub> appears to act at an early step in the cell death pathway when intervention can permit long term viability of cells, whereas caspase inhibitors appear to work relatively more downstream in the apoptosis pathway (Chinnaiyan et al., J Biol Chem 271:4573-4576, 1996; Xiang et al., Proc. Natl. Acad. Sci. USA 93:14559-14563, 1996; Miller et al., J. Cell Biol 139:205-217, 1997).

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### **EXAMPLE 5**

### Measurement of Bad-DTTR apoptosis-enhancing activity

#### A. Stimulation of apoptosis by extracellular treatment with Bad-DTTR

To determine the effectiveness of the fusion protein Bad-DTTR at triggering apoptosis, cell survival after exposure to Bad-DTTR was examined. U251 MG cells at 3 x 10<sup>4</sup> cells/cm<sup>2</sup> in 100 µl DMEM with 10% FBS were incubated with 0.65  $\mu$ M Bad-DTTR protein added to the medium or 20 µl of PBS. Total and apoptotic cells were quantified by staining with Hoechst dye no. 33342. Results

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are presented in FIG 6 as the average number of cells per field (magnification 160 x). Bad-DTTR decreases cell viability 12 hours after treatment.

# B. Enhancement of STS-triggered apoptosis by extracellular treatment with Bad-DTTR

To examine the ability of Bad-DTTR to enhance apoptosis triggered by STS, cell survival was determined after exposure to various concentrations of STS, in combination with various combinations of Bad-DTTR. U251 MG cells at  $3 \times 10^4$  cells/cm² in  $100 \mu l$  DMEM with 10% FBS were treated with PBS,  $0.1 \mu M$  STS,  $0.65 \mu M$  Bad-DTTR,  $0.065 \mu M$  Bad-DTTR,  $0.1 \mu M$  STS plus  $0.65 \mu M$  Bad-DTTR and  $0.1 \mu M$  STS plus  $0.065 \mu M$  Bad-DTTR. Apoptotic death cells were quantified at different times by staining with Hoechst dye no. 33342. Results are presented as the average number of cells per field (magnification  $160 \times 10^{-3} M$ ). Apoptosis is most enhanced when cells are treated with  $0.1 \mu M$  STS plus  $0.65 \mu M$  Bad-DTTR, and cells begin to die about 12 hours after treatment.

U251 MG cells at 3 x  $10^4$  cells/cm<sup>2</sup> in 100  $\mu$ l DMEM with 10% FBS were treated with PBS, 1 $\mu$ M STS, 0.65  $\mu$ M Bad-DTTR, 0.065  $\mu$ M Bad-DTTR, 1 $\mu$ M STS plus 0.65  $\mu$ M Bad-DTTR and 1  $\mu$ M STS plus 0.065  $\mu$ M Bad-DTTR. Apoptotic cells were quantified and presented as above. The combination of 1  $\mu$ M STS and Bad-DTTR at various concentrations causes an earlier onset of apoptosis in U251 MG cells.

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### **EXAMPLE 6**

### LF<sub>n</sub>-Bcl-x<sub>L</sub> Inhibits Neuron, Macrophage, and Lymphocyte Apoptosis

Anthrax toxin includes three components: lethal factor (LF), edema factor (EF) and protective antigen (PA) (Leppla, Anthrax toxin. In Handbook of Natural Toxins, Moss et al., Eds., Dekker, New York, Vol. 8, pp. 543-572, 1995). PA binds simultaneously to LF and to a cell surface receptor existing on the cells of almost all species including rodents (Leppla, 1995; Friedlander, J. Biol. Chem. 261:7123-7126, 1986), and transports LF into cells where LF causes toxic effects. PA alone, however, is not toxic. It has been found that the first 255 residues (LF<sub>n</sub>) of LF, which constitute the PA-binding domain and are not toxic to cells, are sufficient for delivery of heterologous peptides to the cytosol. Cytotoxins have been fused to LF<sub>n</sub> (Leppla, 1995; Arora et al., J Biol. Chem. 269:26165-26171, 1994; Milne et al., Mol. Microbiol. 15: 661-666, 1995). Administration of a fusion protein containing LF<sub>n</sub> and the gp120 envelope glycoprotein of HIV-1 along with PA to antigen-presenting cells sensitized them to cytolysis by cytotoxic T-lymphocytes (CTL) specific to gp120 (Goletz et al., Proc Natl Acad Sci USA 94:12059-12064, 1997). In vivo, LF<sub>n</sub>-fused to CTL epitopes injected along with PA has been shown to stimulate a CTL response against the antigens in mice (Ballard et al., Proc. Natl. Acad. Sci. USA 93: 12531-12534, 1996; Ballard et al., Infect. Immun. 66:615-619, 1998; Ballard et al., Infect. Immun. 66:4696-4699, 1998; Doling et al., Infect. Immun. 67: 3290-3296. 1999).

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To inhibit neuron apoptosis, another protein delivery system was engineered by fusing a nontoxic domain of anthrax toxin to Bcl-xL, to create the LFn-Bcl-xL chimeric fusion protein. Macrophage and lymphocyte death in culture, and neuron death in vivo in a retinal ganglion cell model of apoptosis induced by axotomy, can be prevented by application of this fusion protein.

#### 5 A. Construction of LF<sub>p</sub>-Bcl-x<sub>L</sub> in a prokaryotic expression plasmid

The coding sequence for lethal factor (LF) from codons 34 to 288 (LFn) (Bragg et al., Gene 81:45-54, 1989), which is the amino-terminal domain (residues 1-255) of mature LF (Leppla, 1995), was amplified using PCR with the template of pET15b/LF<sub>n</sub> (Milne et al., Mol. Microbiol. 15: 661-666, 1995). The gene of human Bcl-x<sub>L</sub> from Codons 1 to 209 (Bcl-x<sub>L</sub>(1-209)) (Boise et al., Cell 74: 597-608, 1993) was amplified by PCR. Then the LF<sub>n</sub> encoding sequence was fused to the 5' end of Bclx<sub>L</sub>(1-209) encoding sequence by a second round of PCR. A stop codon was introduced immediately after Codon 209 of Bcl-x<sub>L</sub>. The fused DNA fragment, LF<sub>n</sub>-Bcl-x<sub>L</sub>, was cut with NdeI and Xho I, and inserted into prokaryotic expression vector pET15b cut with Nde I and Xho I (FIG 8). A histidine tag and thrombin cleavage site were linked to the N-terminal of LF<sub>n</sub>-Bcl-x<sub>L</sub>. Similarly, the Bcl-x<sub>L</sub> gene from codons 1 to 209 was also genetically inserted into pET15b at the sites of Nde I and Xho I. All the constructs were verified by DNA sequencing.

### Construction of eukaryotic expression plasmids, B. transfection, Western blotting and biologic activity assav

The sequences encoding LF<sub>n</sub>-Bcl-x<sub>L</sub>, Bcl-x<sub>L</sub> from codons 1 to 209, and full-length Bcl-x<sub>L</sub>, were separately engineered into eukaryotic expression vector pcDNA3.1+ and verified by DNA sequencing. Cos-7 cells were co-transfected with plasmid EGFP-C3 and one of the three plasmids as reported (Keith et al., J Cell Biol 139: 1281-1292, 1997). The cells were treated with 0.1  $\mu M$ staurosporine (STS) 12 hours later. The dead and living cells were counted with Hoechst 33342 at different times after STS treatment (Liu et al., Proc Natl Acad Sci USA 96: 9563-9567, 1999; Keith et al., J Cell Biol 139: 1281-1292, 1997). The cells were harvested and lysed 20 hours after transfection, and aliquots were loaded onto SDS/10-20% PAGE gels. The plasmid-encoded proteins were visualized by immunoblotting with anti-Bcl-x<sub>L</sub> mAb (Trevigen, Gaithersburg, MD) and developed by using enhanced chemiluminescence (Amersham Pharmacia).

### C. Protein expression, purification, SDS-PAGE and Western blotting

The proteins LF<sub>n</sub>, LF<sub>n</sub>-Bcl-x<sub>L</sub> and Bcl-x<sub>L</sub> from codons 1 to 209 were individually expressed in E. coli BL21(DE3) (Novagen, Inc.) and purified with a His Tag binding purification kit (Novagen, 35 Inc.). The transformed BL21(DE3) was cultured at 37°C in LB medium until the OD600 reached 0.5-0.8, and treated with 1mM IPTG, and then cultured for 3 more hours. The cells was pelleted, suspended in 1x His Tag binding buffer with 1 mM phenvlmethylsulfonyl fluoride (PMSF), 1 mM aprotinin and 1 mM leupeptin, and disrupted with French Press. The cytosol was separated from cell debris and undisrupted cells by centrifugation at 20,000 x g for 30 minutes and loaded on the His•Tag binding column. The eluted proteins were dialyzed against 1 x PBS and sterilized with 0.22-um filter.

Protective antigen (PA) was purified as reported (Milne *et al.*, *Mol. Microbiol.* 15: 661-666, 1995). The proteins were run on SDS-PAGE gels, and stained with Coomassie Blue or visualized by immunoblotting with anti-Bcl-x<sub>L</sub> antibody, and developed as above.

## J744 macrophage-like cell culture, treatment and apoptosis assay

J744 macrophage-like cells at  $10^5$ / ml were placed in 96-well plates (100 µl per well), and cultured overnight in RPMI 1640 with 10% FCS. The cells were treated with PBS, 0.1 µM staurosporine alone or 0.1 µM staurosporine along with the different combinations of the proteins LF<sub>n</sub>-Bcl-x<sub>L</sub> (28 µg/ml), PA (33 µg/ml), LF<sub>n</sub> (28 µg/ml) and Bcl-x<sub>L</sub> (28 µg/ml). The apoptotic and living cells were counted with Hoechst dye no. 33342 as reported (Liu *et al.*, *Proc Natl Acad Sci USA* 96: 9563-9567, 1999).

## E. Optic nerve section and intra-ocular protein injection

The PO pups of Fisher 344 rat strain were used for the present study. P0 is defined as the day of birth. The intracranial lesion of unilateral optic nerve was performed as reported (Rabachi *et al.*, *J Neurosci.*. 14: 5292-301, 1994). Briefly, a P0 pup was anesthetized by hypothermia. Under a dissecting microscope, an incision over the right eye was cut and a piece of bone flipped up. The right optic nerve was sectioned after suctioning the overlying cerebral cortex. The section site of optic nerve is about 3 mm away from the eyeball. A piece of gelfoam was put in the hole, and the flipped bone replaced, and the incision repaired with SUPERGLUE<sup>TM</sup>. Immediately after the operation, seven, ten and four mice were respectively treated with administration of PBS, LF<sub>n</sub>-Bcl-x<sub>L</sub> (0.65 μg) plus PA (0.35 μg) and PA (0.35 μg) in a volume of 350 nanoliters (nl) per eye through ora serrata into the posterior chamber of the right eyes by using a micro-injector with a pulled micropipette. The pups were warmed up with a light lamp until the recovery, and then sent back to the mother. Four pups from the same litters, which were not operated and not treated, were used for normal control.

### F. Histology

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About 24 hours after sectioning of the optic nerve, the right eyes were removed under deep anesthesia with sodium pentobarbital, fixed in 4% paraformaldehyde for approximately 30 hours, embedded in paraffin and cut at 6 μm. The eyes taken from the normal pups in the same litters were processed in the same way to serve as controls. The sections were rehydrated, stained with 0.2% cresyl violet, dehydrated, and mounted with DPX mountant. The number of pyknotic cells and the number of living cells were counted by the use of 40 x objective in the entire retinal ganglion cell layer of three sections per retina. The pyknotic cells were identified as reported (Rabachi *et al.*, *J. Neurosci.* 14: 5292-301, 1994). The values were presented as the percentage of pyknotic cells versus total cells per retina (FIG 12).

### G. Results

The PA protein from the Anthrax bacillus binds cell receptors and can mediate the delivery of the anthrax LF protein to the cell cytosol where LF effects toxicity to cells. The N-terminal domain of LF binds to PA. When exogeneous peptides are fused to the N-terminal domain of LF (LF<sub>n</sub>), they can

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be delivered to the cell cytosol by PA. Deletion of the C-terminal region of LF prevents toxicity to cells. To deliver Bcl-x<sub>L</sub> to cells, the N-terminal 255 amino acids of LF were fused to Bcl-x<sub>L</sub> without including the C-terminal 24 hydrophobic amino acids of Bcl-x<sub>L</sub>, as shown schematically in FIG 8. The nucleotide and amino acid sequences of the fusion protein, LF<sub>n</sub>-Bcl-x<sub>L</sub>, are shown in SEQ ID NOs: 7 and 8. The fusion protein was expressed in *E. coli* and purified to near homogeneity.

The bioactivity of the  $LF_n$ -Bcl- $x_L$  was explored in J774 cells in tissue culture.  $LF_n$ -Bcl- $x_L$ , at 28 micrograms per ml plus PA at 33 micrograms per ml was added to the media of cells at the time of apoptosis induction with 0.1  $\mu$ M staurosporine (STS). Cells treated with staurosporine alone died by apoptosis over the following 36 hours as shown in FIG 9. When the cells were treated with  $LF_n$ -Bcl- $x_L$  plus PA, most of the cell death was inhibited.

Controls were performed to explore the requirements for apoptosis inhibition. FIG 10 shows data demonstrating that J774 cells treated with  $LF_n$  alone,  $Bcl-x_L$  alone,  $LF_n-Bcl-x_L$  without PA, and PA without  $LF_n-Bcl-x_L$  were not protected from apoptosis induced by staurosporine, whereas  $LF_n-Bcl-x_L$  plus PA prevented more than half of the cell death. Jurkat cells were also protected from apoptosis by  $LF_n-Bcl-x_L$  plus PA (FIG 11).

This new strategy to block cell death was explored in an *in vivo* model of neuron apoptosis. Retinal ganglion cells were axotomized and immediately afterwards a mixture containing 0.35 µg of PA and 0.65 µg of LF<sub>n</sub>-Bcl-x<sub>L</sub> was injected into the eye. Control mice were either not axotomized, axotomized and injected with PBS, or axotomized and injected with PA alone. Mice were sacrificed 24 hours later, and the eyes examined histologically. An increase in pyknotic cells, *i.e.*, apoptotic cells (Rabachi *et al.*, *J Neurosci.*. 14: 5292-301, 1994), occurs in the ganglion layer 24 hours after axotomy. However, when eyes are injected with LF<sub>n</sub>-Bcl-x<sub>L</sub> and PA, much of the cell death is inhibited. PA alone did not prevent cell death. To quantitate the extent of cell death, the number of living and pyknotic cells in three entire ganglion layers in one eye from each of 4-10 mice was counted. The quantified results are shown in FIG 12. LF<sub>n</sub>-Bcl-x<sub>L</sub> inhibited more than half of the cell death due to neuron axotomy *in vivo*.

In view of the many possible embodiments to which the principles of our invention may be applied, it should be recognized that the illustrated embodiments are only preferred examples of the invention, and should not be taken as limitations on its scope. Rather, the scope of the invention is defined by the following claims. We therefore claim as our invention all that comes within the scope and spirit of these claims.

 $\label{eq:Table 2} \textbf{Inhibition of Apoptosis by Bcl-} \textbf{x}_L\textbf{-DTR}$ 

Cell line	Apoptosis inducer	Concentration of Bcl-x <sub>L</sub> -DTR (µM)	Time of STS Treatment (Hrs)	Apoptosis Prevention (%*)	DT IO <sub>50</sub> (M)
Cos-7 (monkey kidney)	0.1 μM STS	4.8	12	58.4	$10^{-12} - 10^{-11}$
U251 (human glioma)	0.1 μM STS	4.68	16	57.5	10 <sup>-12</sup> – 10 <sup>-11</sup>
HeLa (human cervical Ca)	0.2 μM STS	2.17	10	32.4	$10^{-12} - 10^{-11}$
Jurkat (human T leukemia)	0.1 μM STS	4.68	12	21.2	10-9
9L (rat gliosarcoma)	0.1μM STS	4.68	12	-5.4	> 10 <sup>-7</sup>
WEH7.1 (mouse T lymphoma)	0.1μM STS	4.68	12	0.5	> 10 <sup>-7</sup>

<sup>\*</sup>Apoptotic cells were counted with Hoechst dye no. 33342 and the percent prevention from apoptosis was calculated as 1- (number of apoptotic cells with STS and Bcl-x<sub>L</sub>-DTR – number of apoptotic cells without STS and Bcl-x<sub>L</sub>-DTR) / (number of apoptotic cells with STS – number of apoptotic cells without STS and Bcl-x<sub>L</sub>-DTR) except for the non-adherent Jurkat and WEHI7.1 cells which were counted by trypan blue dye exclusion and % apoptosis prevention calculated as (number of living cells with STS and Bcl-x<sub>L</sub>-DTR – number of living cells with STS) / (number of living cells with STS and Bcl-x<sub>L</sub>-DTR).

 $\label{eq:Table 3} \textbf{Brefeldin A prevents Bcl-} \textbf{x}_L\textbf{-DTR blockade of apoptosis}$ 

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	PBS	0.1 μM STS	0.1 μM STS+ 2.24 μM Bcl-x <sub>L</sub> -DTR	Bcl-x <sub>L</sub> -DTR
Cell death (%)	1	24	11	56% protection
	2 μM brefeldin A	0.1 μM STS+ 2 μM brefeldin A	0.1 μM STS+ 2μM brefeldin A + 2.24 μM Bcl-x <sub>L</sub> -DTR	Bcl-x <sub>L</sub> -DTR + brefeldin A
Cell death (%)	2	35	32	9% protection

Apoptotic cells were counted with Hoechst dye no. 33342 14 hours after addition of STS and / or brefeldin A minutes after Bcl- $x_L$ -DTR was added to Cos-7 cells. The protection percentage was calculated as  $1 - (number of apoptotic cells with STS and Bcl-<math>x_L$ -DTR – number of apoptotic cells without STS and Bcl- $x_L$ -DTR) / (number of apoptotic cells with STS – number of apoptotic cells without STS and Bcl- $x_L$ -DTR).

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We claim:

- A functional apoptosis-modifying fusion protein capable of binding a target cell, comprising:
- (a) a first domain capable of modifying apoptosis in the target cell; and
- (b) a second domain capable of specifically targeting the fusion protein to the target cell, wherein the fusion protein integrates into or otherwise crosses a cellular membrane of the target cell upon binding.
- The fusion protein of claim 1, wherein the first domain is capable of inducing or enhancing apoptosis.
  - 3. The fusion protein of claim 1, wherein the first domain is capable of inhibiting or reducing apoptosis.
  - 4. The functional purified apoptosis-modifying fusion protein of claim 1, comprising an amino acid sequence selected from the group consisting of:
    - (a) the amino acid sequence shown in SEQ ID NO: 2;
      - (b) the amino acid sequence shown in SEQ ID NO: 4;
      - (c) the amino acid sequence shown in SEQ ID NO: 8; and
  - (d) amino acid sequences that differ from those specified in (a), (b), or (c) by one or more conservative amino acid substitutions, but which retain targeting and apoptosis-modifying abilities.
  - An isolated nucleic acid molecule encoding a protein according to claim 4.
  - 6. The isolated nucleic acid molecule of claim 5 wherein the molecule comprises a sequence selected from the group consisting of:
    - (a) SEQ ID NO: 1;
    - (b) SEQ ID NO: 3;
- 25 (c) SEQ ID NO: 7; and
  - (d) nucleic acid sequences having at least 90% sequence identity to the sequences specified in (a), (b), or (c).
  - 7. A recombinant nucleic acid molecule comprising a promoter sequence operably linked to a nucleic acid sequence according to claim 5.
- 30 8. A transgenic cell comprising a recombinant nucleic acid molecule according to claim 7.
  - 9. The transgenic cell of claim 8, wherein the cell is a bacteria, a yeast, an algae, a plant; or an animal cell.
    - 10. The functional apoptosis-modifying fusion protein of claim 1, further comprising:(c) a linker connecting the first domain to the second domain.
  - 11. The protein of claim 1, wherein the first domain is a Bcl-2 family protein, or a variant or fragment thereof that retains an apoptosis-modifying property.
    - 12. The protein of claim 11, wherein the first domain is pro-apoptotic.

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- 13. The protein of claim 11, wherein the first domain is anti-apoptotic.
- 14. The protein of claim 11, wherein the first domain is Bcl-x<sub>L</sub>, or a variant or fragment thereof that inhibits apoptosis in the target cell to which the protein is exposed.
  - 15. The protein of claim 14, wherein the first domain consists essentially of Bcl-x<sub>L</sub>.
- 16. The protein of claim 11, wherein the first domain is Bad, or a variant or fragment thereof that enhances apoptosis in the target cell to which the protein is exposed.
- 17. The protein of claim 16, wherein the first domain is a variant of Bad having an amino acid other than serine at amino acid position 112 and/or position 136.
  - 18. The protein of claim 16, wherein the first domain consists essentially of Bad.
- The protein of claim 14, wherein the target cell is a neuron, a lymphocyte, a macrophage, an epithelial cell, or a stem cell.
- 20. The protein of claim 17, wherein the target cell is a tumor cell, a cancer cell, a neoplasm cell, a hyper-proliferative cell, or an adipocyte.
- 21. The protein of claim 1, wherein the second domain comprises a receptor-binding domain derived from a bacterial toxin, a monoclonal antibody, a growth factor, or a cytokine.
  - 22. The protein of claim 21, wherein the second binding domain comprises a receptorbinding domain derived from diphtheria toxin or anthrax toxin.
  - 23. The protein of claim 21, wherein the second binding domain comprises a receptorbinding domain derived from epidermal growth factor
- 24. The protein of claim 21, wherein the receptor-binding domain comprises diphtheria toxin receptor binding domain, or a variant or fragment thereof that targets the fusion protein to the target cell to which the protein is exposed.
  - 25. The protein of claim 21, wherein the second domain further comprises a translocation domain of diphtheria toxin.
    - 26. An isolated nucleic acid molecule encoding a fusion protein according to claim 1.
    - 27. The protein of claim 10, wherein the linker is 5-100 amino acid residues in length.
  - 28. The protein of claim 10, wherein the linker comprises the amino acid sequence shown in SEQ ID NO: 6.
- The protein of claim 22, wherein the linker consists essentially of the amino acid sequence shown in SEQ ID NO: 6.
  - 30. The functional apoptosis-modifying fusion protein of claim 1, comprising:
    - (a) Bcl- $x_L$ ;
    - (b) a bacterial toxin receptor binding domain; and
    - (c) a peptide linker of about 6 amino acids in length, functionally linking (a) to
- 35 (b).
  - 31. The fusion protein of claim 30, wherein (b) is a diphtheria toxin or anthrax toxin receptor binding domain.

	32.	The fu	sion protein of claim 30, which does not include a functional diphtheria toxin
	translocation do	nain.	
	33.	The fu	sion of claim 31, wherein (b) comprises LF <sub>n</sub> .
	34.	The fu	sion protein of claim 32, consisting essentially of:
5		(a)	Bcl-x <sub>L</sub> ;
		(b)	a diphtheria toxin receptor binding domain; and
		(c)	a peptide linker of about 6 amino acids in length, functionally linking (a) to
	(b).		
	35.	The pr	otein of claim 30, wherein the linker has an amino acid sequence shown in
10	SEQ ID NO: 6.		
	36.	An iso	lated nucleic acid molecule encoding a protein according to claim 30.
	37.	The nu	cleic acid molecule of claim 36, wherein the nucleic acid sequence is
	represented by S	EQ ID N	JO: 1.
	38.	The fu	nctional apoptosis-modifying fusion protein of claim 1, comprising:
15		(a)	Bad;
		(b)	a diphtheria toxin translocation domain; and
		(c)	a bacterial toxin receptor binding domain,
	wherein (a), (b),	and (c) a	re functionally linked
	39.	The fu	sion protein of claim 38, wherein (c) is a diphtheria toxin or anthrax toxin
20	receptor binding	domain.	
	40.	An iso	ated nucleic acid molecule encoding a protein according to claim 38.
	41.	The nu	cleic acid molecule of claim 40, wherein the nucleic acid sequence is shown
	in SEQ ID NO:	3.	•
	42.	A meth	od for producing in a cell a functional apoptosis-modifying fusion protein
25	capable of bindir	ng a targe	et cell, comprising the steps of:
		(a)	transfecting a cell with an isolated recombinant nucleic acid molecule of
	claim 25 to prod	uce a trai	nsgenic cell;
		(b)	culturing the transgenic cell under conditions that cause production of the
	protein; and		
30		(c)	recovering the protein produced by the transgenic cell.
	43.	The me	ethod of claim 42, wherein the cell is a eukaryotic cell.
	44.	The me	ethod of claim 43, wherein the eukaryotic cell is a mammalian cell.
	45.	The me	ethod of claim 42, wherein recovering the protein comprises:
		a)	identifying the protein by the presence of a molecular tag; and
35		b)	separating the protein having the molecular tag so identified from
	molecules withou	at the tag	, so as to recover the protein produced by the cultured transgenic cell.
	46.		position comprising the protein according to claim 1, or an analog or mimetic
	thereof	•	

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- 47. A pharmaceutical composition comprising the composition according to claim 46, and a pharmaceutically acceptable carrier.
- 48. A combined pharmaceutical composition comprising a fusion protein according to claim 33, and a sufficient amount PA to enable measurable transport of the fusion protein into a target cell.
- 49. A method for modifying apoptosis in a target cell, comprising the step of: contacting the target cell with an amount of the protein of claim 1 sufficient to modify apoptosis in the target cell.
- 50. The method of claim 49, wherein the protein is administered in the form of a pharmaceutical composition.
  - 51. The method of claim 49, further comprising the step of co-administering an agent selected from the group consisting of a chemotherapeutic agent, an anti-inflammatory agent, an anti-viral agent, and an antibiotic agent.
    - 52. The method of claim 49, wherein apoptosis in the target cell is inhibited.
    - 53. The method of claim 49, wherein apoptosis in the target cell is enhanced.
  - 54. A method for inhibiting apoptosis in a target cell, comprising the step of: contacting the target cell with an amount of the protein of claim 14, sufficient to inhibit apoptosis.
- 55. A method for enhancing apoptosis in a target cell, comprising the step of:

  contacting the target cell with an amount of the protein of claim 17, sufficient to enhance apoptosis.
  - 56. A method of reducing apoptosis in a subject after transient ischemic neuronal injury, comprising administering to the subject a therapeutically effective amount of a protein of claim 14.
  - 57. The method of claim 56, wherein the transient ischemic neuronal injury is a spinal cord injury.
    - 58. The method of claim 56, wherein the protein is administered in the form of a pharmaceutical composition.
  - 59. The method of claim 56, further comprising the step of co-administering an agent selected from the group consisting of a chemotherapeutic agent, an anti-inflammatory agent, an anti-viral agent, and an antibiotic agent.
    - 60. A protein analog, derivative, or mimetic of the protein of claim 1.
    - 61. The protein of any one of claims 1-4 for use in modifying apoptosis in a target cell.

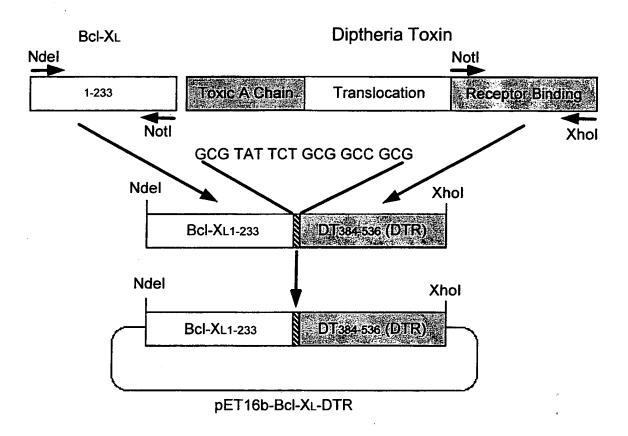
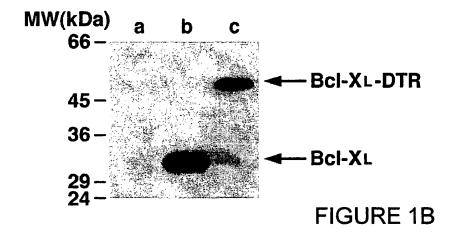


FIGURE 1A



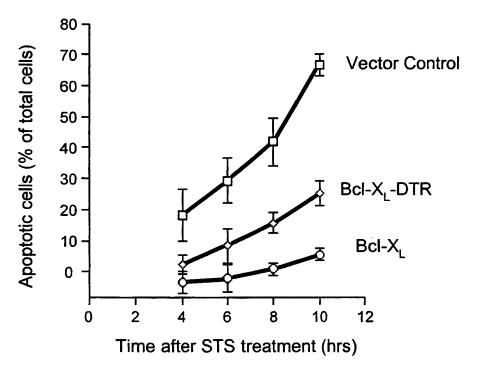


FIGURE 1C

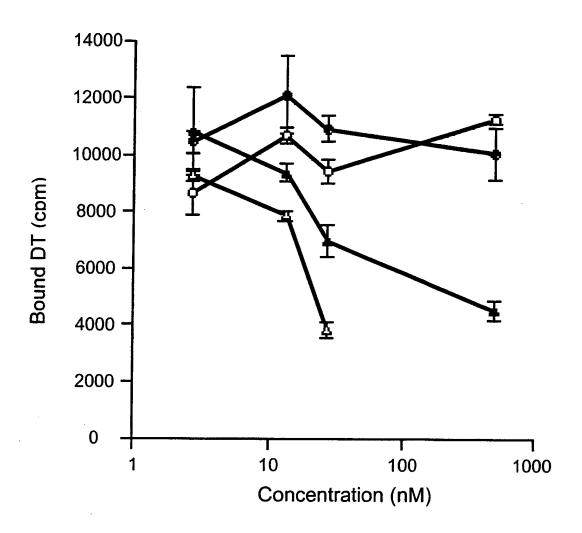
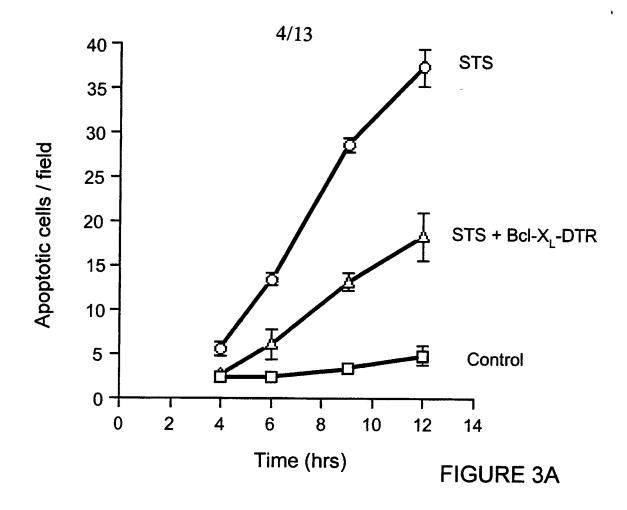


FIGURE 2



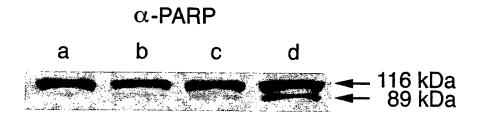
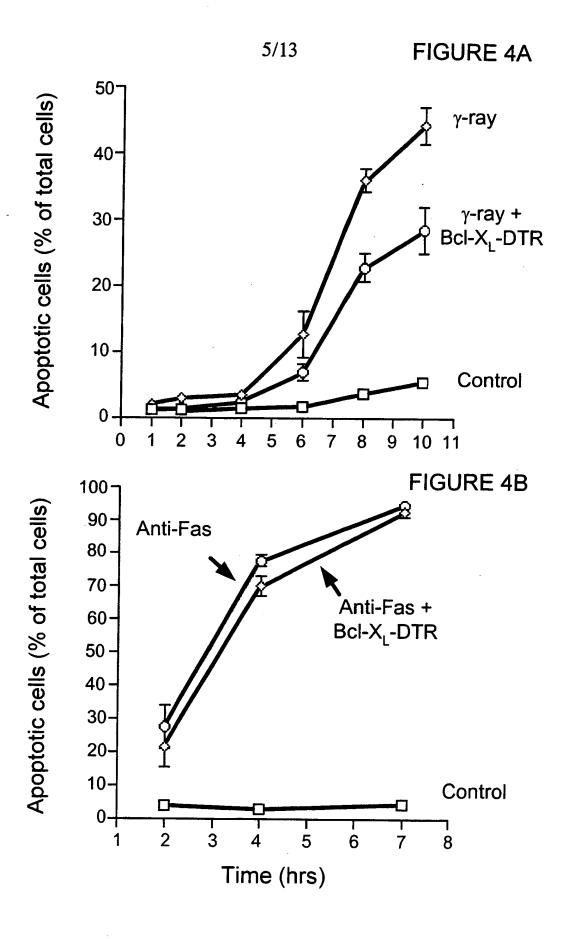


FIGURE 3B



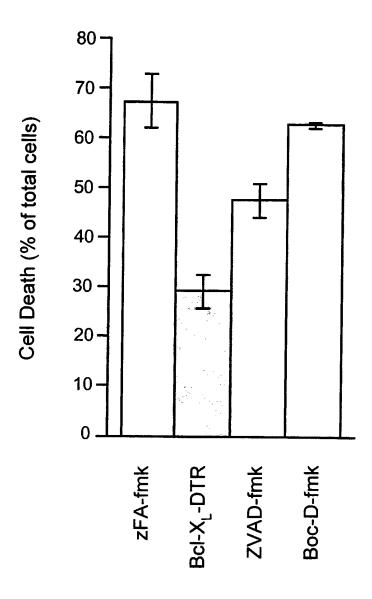
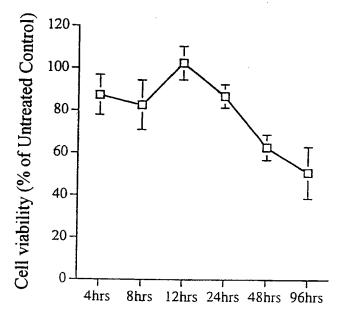


FIGURE 5



Time after  $0.65~\mu M$  Bad-DTTR

FIGURE 6

WO 01/12661 PCT/US00/22293

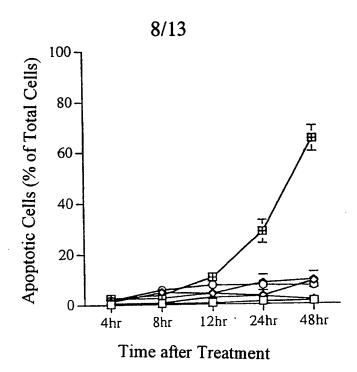


FIGURE 7A

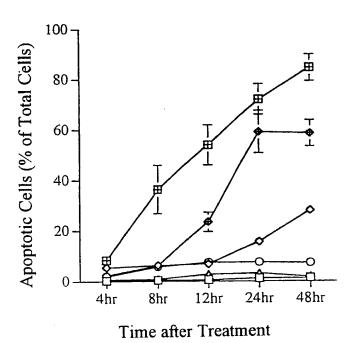


FIGURE 7B

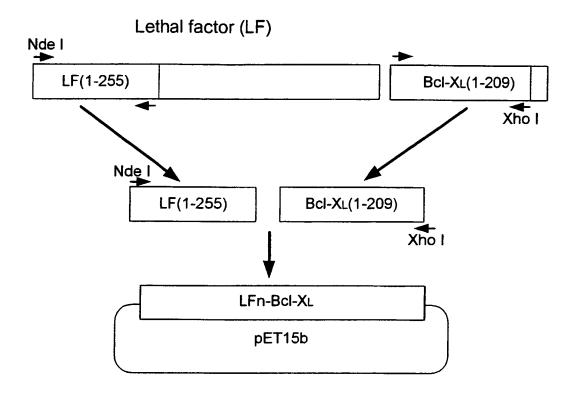


FIGURE 8

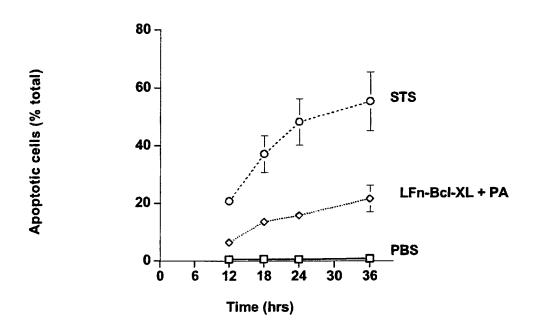


FIGURE 9

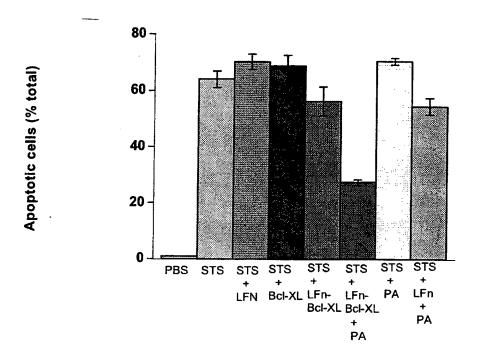


FIGURE 10

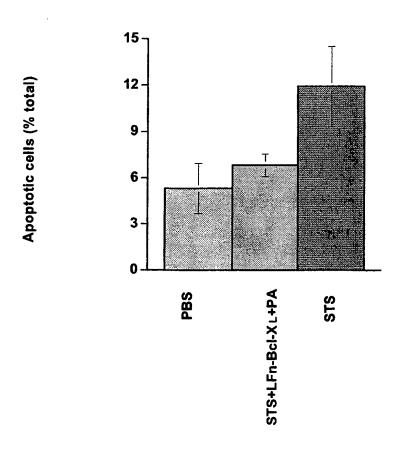


FIGURE 11

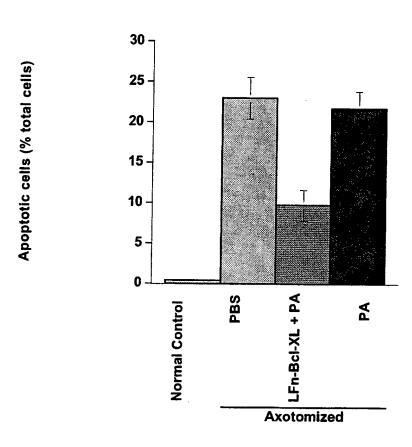


FIGURE 12

#### SEQUENCE LISTING

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<110> Youle et al.
<120> RECEPTOR-MEDIATED UPTAKE OF AN EXTRACELLULAR BCL-XL
      FUSION PROTEIN INHIBITS APOPTOSIS
<130> 4239-55416
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                                                                  96
Ile Glu Gly Arg Met Ser Gln Ser Asn Arg Glu Leu Val Val Asp Phe
             20
ctc tcc tac aag ctt tcc cag aaa gga tac agc tgg agt cag ttt agt
                                                                  144
Leu Ser Tyr Lys Leu Ser Gln Lys Gly Tyr Ser Trp Ser Gln Phe Ser
         35
                             40
gat gtg gaa gag aac agg act gag gcc cca gaa ggg act gaa tcg gag
Asp Val Glu Glu Asn Arg Thr Glu Ala Pro Glu Gly Thr Glu Ser Glu
                         55
atg gag acc ccc agt gcc atc aat ggc aac cca tcc tgg cac ctg gca
Met Glu Thr Pro Ser Ala Ile Asn Gly Asn Pro Ser Trp His Leu Ala
                                         75
gac age eee geg gtg aat gga gee aet geg eae age age agt ttg gat
Asp Ser Pro Ala Val Asn Gly Ala Thr Ala His Ser Ser Ser Leu Asp
gcc cgg gag gtg atc ccc atg gca gca gta aag caa gcg ctg agg gag
                                                                  336
Ala Arg Glu Val Ile Pro Met Ala Ala Val Lys Gln Ala Leu Arg Glu
                                105
gca ggc gac gag ttt gaa ctg cgg tac cgg cgg gca ttc agt gac ctg
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Ala	Gly	Asp 115	Glu	Phe	Glu	Leu	Arg 120	Tyr	Arg	Arg	Ala	Phe 125		Asp	Leu	-
aca Thr	tcc Ser 130	Gln	ctc Leu	cac His	atc Ile	acc Thr 135	cca Pro	ggg Gly	aca Thr	gca Ala	tat Tyr 140	cag Gln	agc Ser	ttt Phe	gaa Glu	432
cag Gln 145	gta Val	gtg Val	aat Asn	gaa Glu	ctc Leu 150	ttc Phe	cgg Arg	gat Asp	ggg Gly	gta Val 155	aac Asn	tgg Trp	ggt Gly	cgc Arg	att Ile 160	480
	gcc Ala															528
aag Lys	gag Glu	atg Met	cag Gln 180	gta Val	ttg Leu	gtg Val	agt Ser	cgg Arg 185	atc Ile	gca Ala	gct Ala	tgg Trp	atg Met 190	gcc Ala	act Thr	576
tac Tyr	ctg Leu	aat Asn 195	gac Asp	cac His	cta Leu	gag Glu	cct Pro 200	tgg Trp	atc Ile	cag Gln	gag Glu	aac Asn 205	ggc Gly	ggc	tgg Trp	624
	act Thr 210															672
	ggc Gly															720
	ggc Gly															768
	gcc Ala															816
	tgg Trp															864
gag Glu	agt Ser 290	GJ À aaa	cac His	gac Asp	ata Ile	aaa Lys 295	att Ile	act Thr	gct Ala	gaa Glu	aat Asn 300	acc Thr	ccg Pro	ctt Leu	cca Pro	912
atc Ile 305	gcg Ala	ggt Gly	gtc Val	cta Leu	cta Leu 310	ccg Pro	act Thr	att Ile	cct Pro	gga Gly 315	aag Lys	ctg Leu	gac Asp	gtt Val	aat Asn 320	960
	tcc Ser															1008
tgc Cys	aga Arg	gct Ala	ata Ile 340	gac Asp	ggt Gly	gat Asp	gta Val	act Thr 345	ttt Phe	tgt Cys	cgc Arg	cct Pro	aaa Lys 350	tct Ser	cct Pro	1056
gtt Val	tat Tyr	gtt Val	ggt Gly	aat Asn	ggt Gly	gtg Val	cat His	gcg Ala	aat Asn	ctt Leu	cac His	gtg Val	gca Ala	ttt Phe	cac His	1104

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> 360 365

aga agc agc tcg gag aaa att cat tct aat gaa att tcg tcg gat tcc 1152 Arg Ser Ser Ser Glu Lys Ile His Ser Asn Glu Ile Ser Ser Asp Ser 370 375 ata ggc gtt ctt ggg tac cag aaa aca gta gat cac acc aag gtt aat 1200 Ile Gly Val Leu Gly Tyr Gln Lys Thr Val Asp His Thr Lys Val Asn 390 1236 tct aag cta tcg cta ttt ttt gaa atc aaa agc tga Ser Lys Leu Ser Leu Phe Phe Glu Ile Lys Ser 405

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<213> Artificial Sequence

<223> Description of Artificial Sequence: genetic fusion

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Glu Ser Gly His Asp Ile Lys Ile Thr Ala Glu Asn Thr Pro Leu Pro

Ile Ala Gly Val Leu Leu Pro Thr Ile Pro Gly Lys Leu Asp Val Asn

305	310		315	320
Lys Ser Lys	Thr His Ile : 325		Gly Arg Lys Ile 330	Arg Met Arg 335
Cys Arg Ala	Ile Asp Gly 2	Asp Val Thr P 345	Phe Cys Arg Pro	Lys Ser Pro 350
Val Tyr Val 355	Gly Asn Gly	Val His Ala A 360	Asn Leu His Val 365	Ala Phe His
Arg Ser Ser 370	_	Ile His Ser A 375	Asn Glu Ile Ser 380	Ser Asp Ser
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VO 01/12661	PCT/US00/22293
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							gcg Ala									432
				-			cga Arg		-	_	_	-	-			480
			-		-	_	tac Tyr		-			-		_	-	528
_							aag Lys				_		_	-	-	576
		-					caa Gln 200									624
							ttg Leu									672
							tca Ser									720
		-			**		aag Lys		-		-					768
					-	-	gaa Glu	-					-			816
_		-					gaa Glu 280	-				_	-			864
							aaa Lys									912
							gcg Ala									960
							aat Asn									1008
							agc Ser									1056
							ata Ile 360									1104
tct	tta	atg	gtt	gct	caa	gct	att	cca	ttg	gta	gga	gag	cta	gtt	gat	1152

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Ser	Leu 370	Met	Val	Ala	Gln	Ala 375	Ile	Pro	Leu	Val	Gly 380	Glu	Leu	Val	Asp	
							ttt Phe									1200
							aat Asn									1248
	_						gac Asp			-	-	_				1296
							act Thr 440									1344
-					-	-	aat Asn		_						-	1392
							aag Lys							-		1440
							aaa Lys									1488
_		_	_			_	cgc Arg					_		_		1536
							cac His 520		_			_	_	_	_	1584
gag Glu	aaa Lys 530	att Ile	cat His	tct Ser	aat Asn	gaa Glu 535	att Ile	tcg Ser	tcg Ser	gat Asp	tcc Ser 540	ata Ile	ggc Gly	gtt Val	ctt Leu	1632
	Tyr						cac His									1680
		ttt Phe	-			-	tga									1704
	.> 56 !> PF !> Ar	RT tifi					:ial	Sequ	ience	e: ge	neti	.c fu	ısion	ı		

<400> 4

Met Gly His His His His His His His His His Ser Ser Gly His  $1 \hspace{1cm} 5 \hspace{1cm} 10 \hspace{1cm} 15$ 

WU 01/12661 PCT/US00/22293

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500 505 510
Asn Gly Val His Ala Asn Leu His Val Ala Phe His Arg Ser Ser Ser

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515
                          520
                                             525
Glu Lys Ile His Ser Asn Glu Ile Ser Ser Asp Ser Ile Gly Val Leu
                       535
                                          540
   530
Gly Tyr Gln Lys Thr Val Asp His Thr Lys Val Asn Ser Lys Leu Ser
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545
                                      555
Leu Phe Phe Glu Ile Lys Ser
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<211> 1455
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                 5
ege gge age cat atg geg gge ggt cat ggt gat gta ggt atg cac gta
                                                               96
Arg Gly Ser His Met Ala Gly Gly His Gly Asp Val Gly Met His Val
            20
144
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cga Arg	aat Asn 50	aaa Lys	aca Thr	cag Gln	gaa Glu	gag Glu 55	cat His	tta Leu	aag Lys	gaa Glu	atc Ile 60	atg Met	aaa Lys	cac His	att Ile	192
gta Val 65	aaa Lys	ata Ile	gaa Glu	gta Val	aaa Lys 70	GJ À ààà	gag Glu	gaa Glu	gct Ala	gtt Val 75	aaa Lys	aaa Lys	gag Glu	gca Ala	gca Ala 80	240
gaa Glu	aag Lys	cta Leu	ctt Leu	gag Glu 85	aaa Lys	gta Val	cca Pro	tct Ser	gat Asp 90	gtt Val	tta Leu	gag Glu	atg Met	tat Tyr 95	aaa Lys	288
gca Ala	att Ile	gga Gly	gga Gly 100	aag Lys	ata Ile	tat Tyr	att Ile	gtg Val 105	gat Asp	ggt Gly	gat Asp	att Ile	aca Thr 110	aaa Lys	cat His	336
														gac Asp		384
														aaa Lys		432
gga Gly 145	tat Tyr	gaa Glu	ccc Pro	gta Val	ctt Leu 150	gta Val	atc Ile	caa Gln	tct Ser	tcg Ser 155	gaa Glu	gat Asp	tat Tyr	gta Val	gaa Glu 160	480
aat Asn	act Thr	gaa Glu	aag Lys	gca Ala 165	ctg Leu	aac Asn	gtt Val	tat Tyr	tat Tyr 170	gaa Glu	ata Ile	ggt Gly	aag Lys	ata Ile 175	tta Leu	528
tca Ser	agg Arg	gat Asp	att Ile 180	tta Leu	agt Ser	aaa Lys	att Ile	aat Asn 185	caa Gln	cca Pro	tat Tyr	cag Gln	aaa Lys 190	ttt Phe	tta Leu	576
gat Asp	gta Val	tta Leu 195	aat Asn	acc Thr	att Ile	aaa Lys	aat Asn 200	gca Ala	tct Ser	gat Asp	tca Ser	gat Asp 205	gga Gly	caa Gln	gat Asp	624
ctt Leu	tta Leu 210	ttt Phe	act Thr	aat Asn	cag Gln	ctt Leu 215	aag Lys	gaa Glu	cat His	ccc Pro	aca Thr 220	Asp	ttt Phe	tct Ser	gta Val	672
											Glu			gcg Ala		720
gct Ala	ttt Phe	gca Ala	tat Tyr	tat Tyr 245	atc Ile	gag Glu	cca Pro	cag Gln	cat His 250	Arg	gat Asp	gtt Val	tta Leu	cag Gln 255	ctt Leu	768
									Asp					caa Gln		816
														gac Asp		864

280 275 285 ctc tcc tac aag ctt tcc cag aaa gga tac agc tgg agt cag ttt agt 912 Leu Ser Tyr Lys Leu Ser Gln Lys Gly Tyr Ser Trp Ser Gln Phe Ser 295 300 gat gtg gaa gag aac agg act gag gcc cca gaa ggg act gaa tcg qag 960 Asp Val Glu Glu Asn Arg Thr Glu Ala Pro Glu Gly Thr Glu Ser Glu 310 315 atg gag acc ccc agt gcc atc aat ggc aac cca tcc tgg cac ctg gca 1008 Met Glu Thr Pro Ser Ala Ile Asn Gly Asn Pro Ser Trp His Leu Ala 325 330 gac age eee geg gtg aat gga gee act geg eac age age agt ttg gat 1056 Asp Ser Pro Ala Val Asn Gly Ala Thr Ala His Ser Ser Ser Leu Asp 340 345 gcc cgg gag gtg atc ccc atg gca gca gta aag caa gcg ctg agg gag 1104 Ala Arg Glu Val Ile Pro Met Ala Ala Val Lys Gln Ala Leu Arg Glu 355 360 gca ggc gac gag ttt gaa ctg cgg tac cgg cgg gca ttc agt gac ctg 1152 Ala Gly Asp Glu Phe Glu Leu Arg Tyr Arg Arg Ala Phe Ser Asp Leu 370 375 aca too cag oto cao ato aco oca ggg aca goa tat cag ago ttt gaa 1200 Thr Ser Gln Leu His Ile Thr Pro Gly Thr Ala Tyr Gln Ser Phe Glu 385 390 cag gta gtg aat gaa ctc ttc cgg gat ggg gta aac tgg ggt cgc att 1248 Gln Val Val Asn Glu Leu Phe Arg Asp Gly Val Asn Trp Gly Arg Ile 405 410 gtg gcc ttt ttc tcc ttc ggc ggg gca ctg tgc gtg gaa agc gta gac 1296 Val Ala Phe Phe Ser Phe Gly Gly Ala Leu Cys Val Glu Ser Val Asp 420 425 aag gag atg cag gta ttg gtg agt cgg atc gca gct tgg atg gcc act Lys Glu Met Gln Val Leu Val Ser Arg Ile Ala Ala Trp Met Ala Thr 435 tac ctg aat gac cac cta gag cct tgg atc cag gag aac ggc ggc tgg 1392 Tyr Leu Asn Asp His Leu Glu Pro Trp Ile Gln Glu Asn Gly Gly Trp 450 gat act ttt gtg gaa ctc tat ggg aac aat gca gca gcc gag agc cga 1440 Asp Thr Phe Val Glu Leu Tyr Gly Asn Asn Ala Ala Glu Ser Arg 465 470 aag ggc cag gaa cgc 1455 Lys Gly Gln Glu Arg

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<211> 485

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<223> Description of Artificial Sequence: genetic fusion

PCT/US00/22293

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Ala Ile Gly Gly Lys Ile Tyr Ile Val Asp Gly Asp Ile Thr Lys His

Ile Ser Leu Glu Ala Leu Ser Glu Asp Lys Lys Ile Lys Asp Ile 120

Tyr Gly Lys Asp Ala Leu Leu His Glu His Tyr Val Tyr Ala Lys Glu 135

Gly Tyr Glu Pro Val Leu Val Ile Gln Ser Ser Glu Asp Tyr Val Glu

Asn Thr Glu Lys Ala Leu Asn Val Tyr Tyr Glu Ile Gly Lys Ile Leu

Ser Arg Asp Ile Leu Ser Lys Ile Asn Gln Pro Tyr Gln Lys Phe Leu 185

Asp Val Leu Asn Thr Ile Lys Asn Ala Ser Asp Ser Asp Gly Gln Asp

Leu Leu Phe Thr Asn Gln Leu Lys Glu His Pro Thr Asp Phe Ser Val 215

Glu Phe Leu Glu Gln Asn Ser Asn Glu Val Gln Glu Val Phe Ala Lys

Ala Phe Ala Tyr Tyr Ile Glu Pro Gln His Arg Asp Val Leu Gln Leu 250

Tyr Ala Pro Glu Ala Phe Asn Tyr Met Asp Lys Phe Asn Glu Gln Glu 260 265

Ile Asn Leu Ser Met Ser Gln Ser Asn Arg Glu Leu Val Val Asp Phe 280

Leu Ser Tyr Lys Leu Ser Gln Lys Gly Tyr Ser Trp Ser Gln Phe Ser

Asp Val Glu Glu Asn Arg Thr Glu Ala Pro Glu Gly Thr Glu Ser Glu 305 310 315

WU U1/12001 PCT/US00/22293

Met Glu Thr Pro Ser Ala Ile Asn Gly Asn Pro Ser Trp His Leu Ala 325 330 335

- Asp Ser Pro Ala Val Asn Gly Ala Thr Ala His Ser Ser Ser Leu Asp 340 345 350
- Ala Arg Glu Val Ile Pro Met Ala Ala Val Lys Gln Ala Leu Arg Glu 355 360 365
- Ala Gly Asp Glu Phe Glu Leu Arg Tyr Arg Arg Ala Phe Ser Asp Leu 370 380
- Thr Ser Gln Leu His Ile Thr Pro Gly Thr Ala Tyr Gln Ser Phe Glu 385 390 395 400
- Gln Val Val Asn Glu Leu Phe Arg Asp Gly Val Asn Trp Gly Arg Ile 405 410 415
- Val Ala Phe Phe Ser Phe Gly Gly Ala Leu Cys Val Glu Ser Val Asp 420 425 430
- Lys Glu Met Gln Val Leu Val Ser Arg Ile Ala Ala Trp Met Ala Thr 435 440 445
- Tyr Leu Asn Asp His Leu Glu Pro Trp Ile Gln Glu Asn Gly Gly Trp 450 455 460
- Asp Thr Phe Val Glu Leu Tyr Gly Asn Asn Ala Ala Ala Glu Ser Arg 465 470 475 480

Lys Gly Gln Glu Arg

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## (19) World Intellectual Property Organization International Bureau



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**PCT** 

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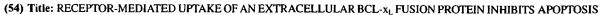
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with international search report

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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.





### INTERNATIONAL SEARCH REPORT

Inte Ional Application No PCT/US 00/22293

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 CO7K14/47 CO7K14/34 C12N15/63 CO7K19/00 C12N15/62 A61K38/16 C12N5/10 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) CO7K C12N A61K IPC 7 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, WPI Data, PAJ, BIOSIS, STRAND C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Citation of document, with indication, where appropriate, of the relevant passages Category ° 1,2,11, WO 98 17682 A (WASHINGTON UNIVERSITY) X 12, 30 April 1998 (1998-04-30) 16-18. 20,26, 46,47, 49,50, 53,60,61 SEQ ID NO:14, SEQ ID NO:16 page 24, line 9-26; claim 13 Patent family members are listed in annex. X Further documents are listed in the continuation of box C. Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the \*A\* document defining the general state of the art which is not considered to be of particular relevance invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to "E" earlier document but published on or after the international filing date involve an inventive step when the document is taken alone document which may throw doubts on priority claim(s) or which is clied to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled O document referring to an oral disclosure, use, exhibition or other means document published prior to the international filing date but later than the priority date claimed \*&\* document member of the same patent family Date of mailing of the international search report Date of the actual completion of the international search 22/02/2001 7 February 2001 Authorized officer Name and mailing address of the ISA

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